



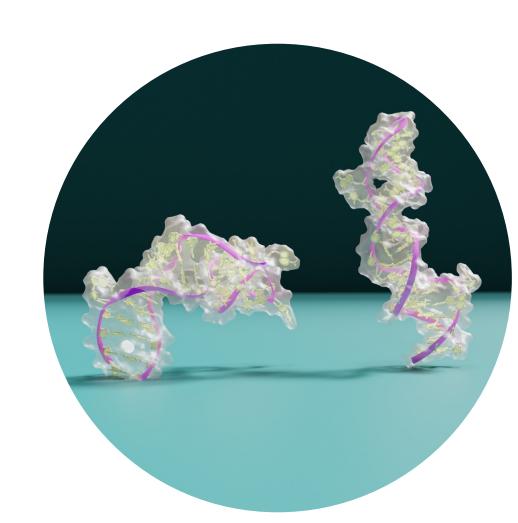


Applications Lesson 10

MSE 304

Nako Nakatsuka

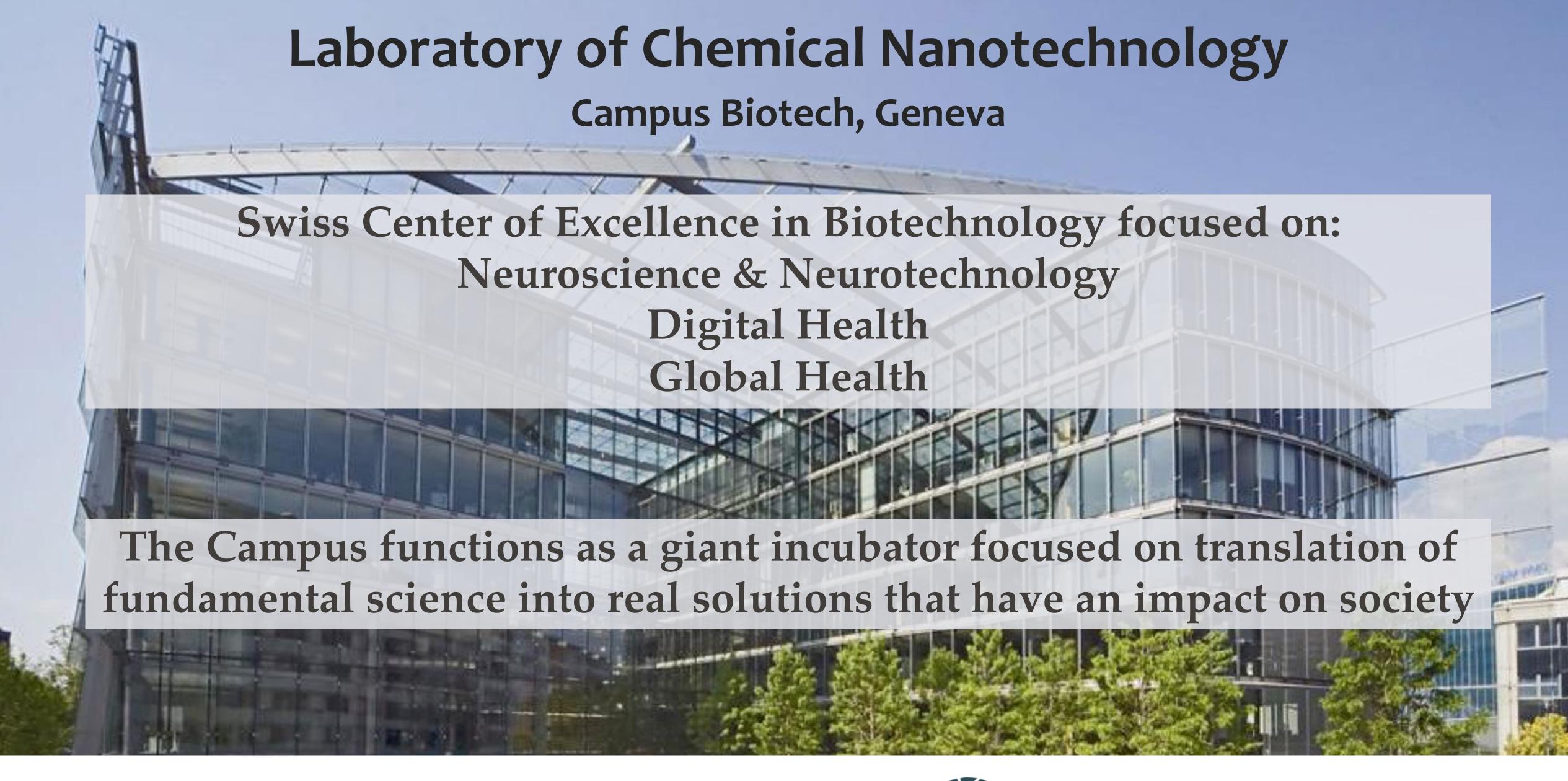
Nako.Nakatsuka@epfl.ch



My Academic Journey







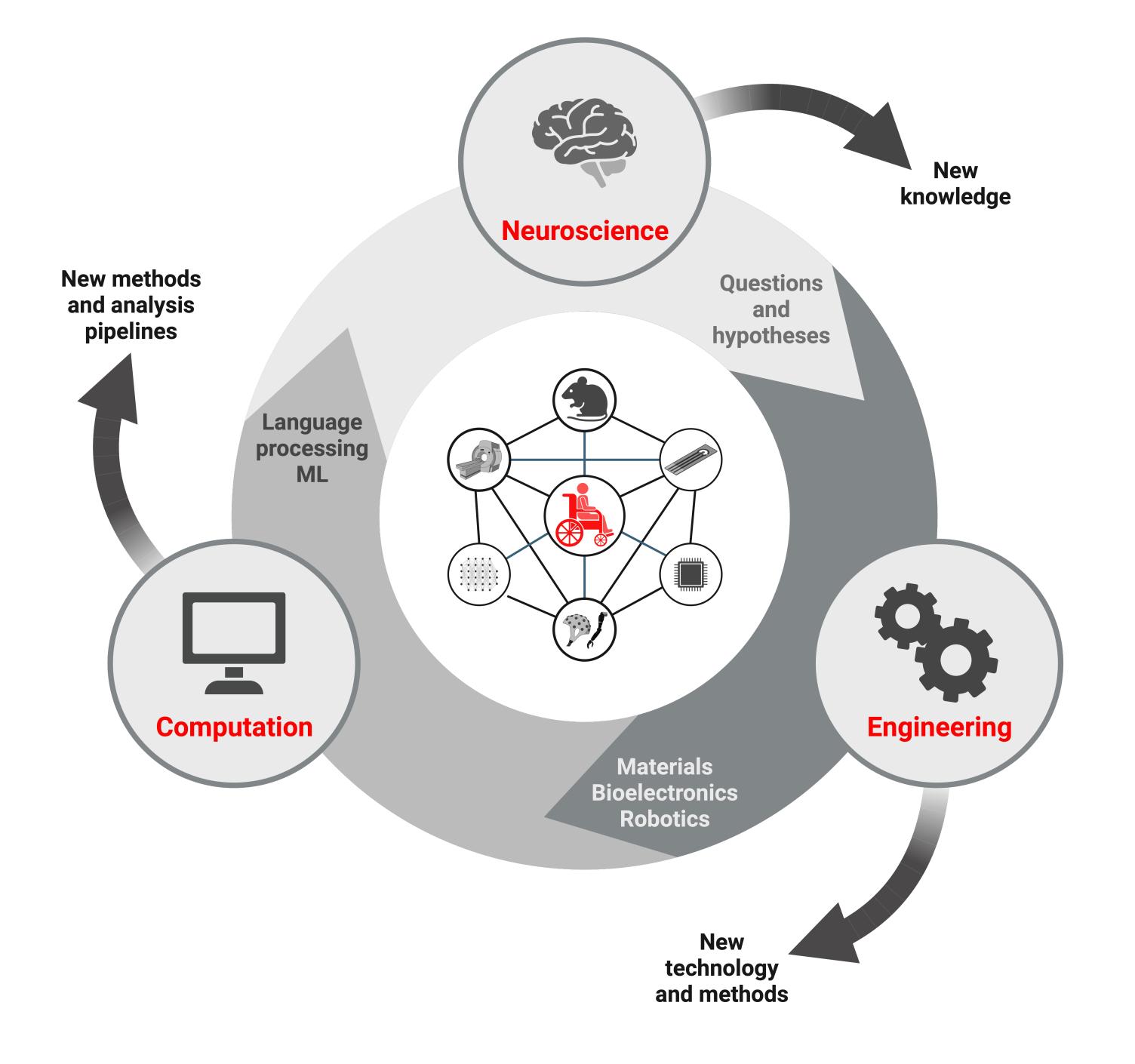




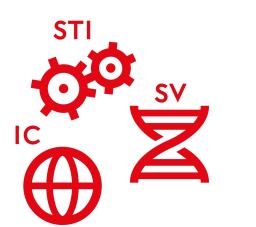








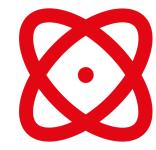
Neuro X Institute



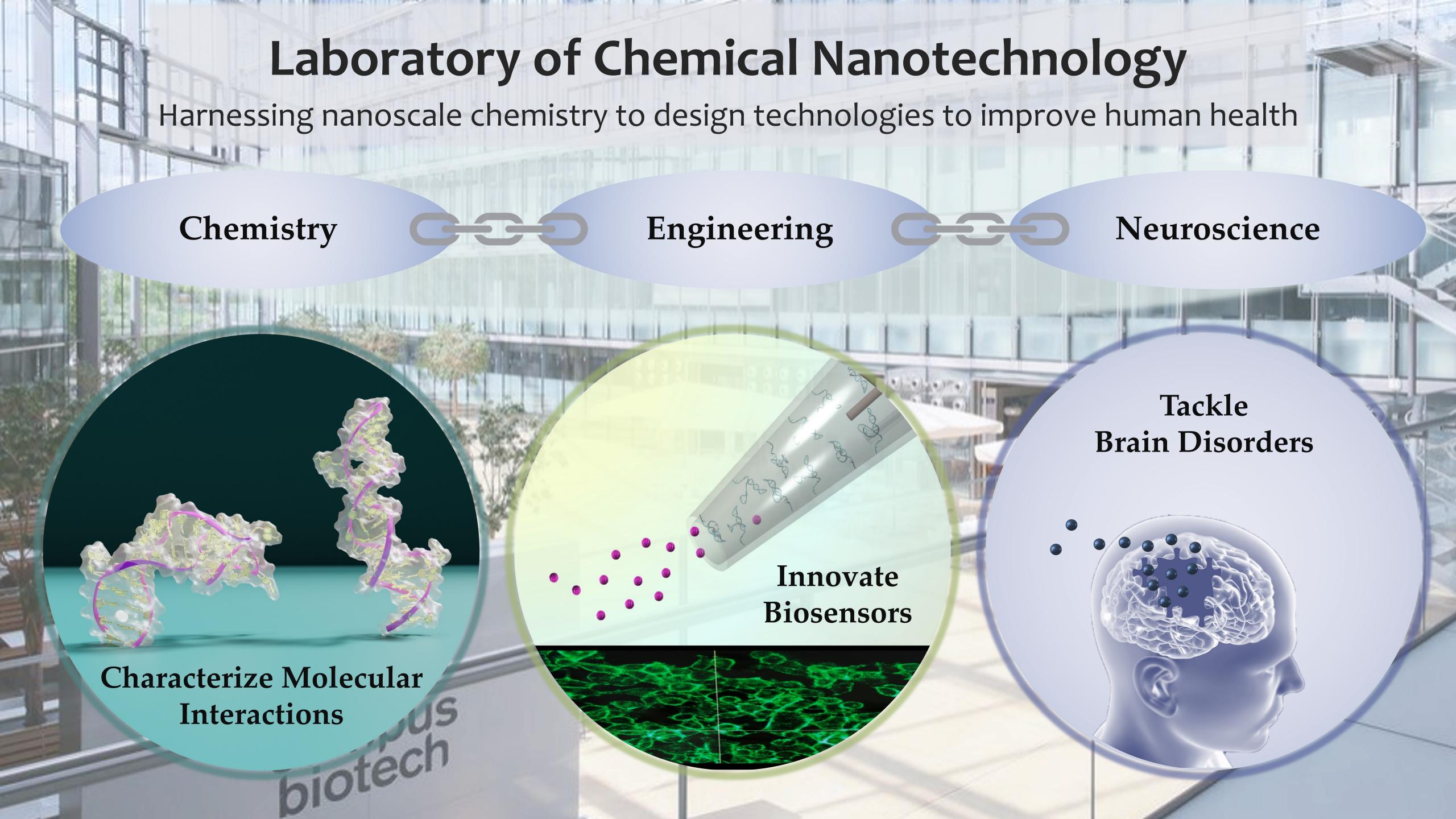
Interschool
Institute

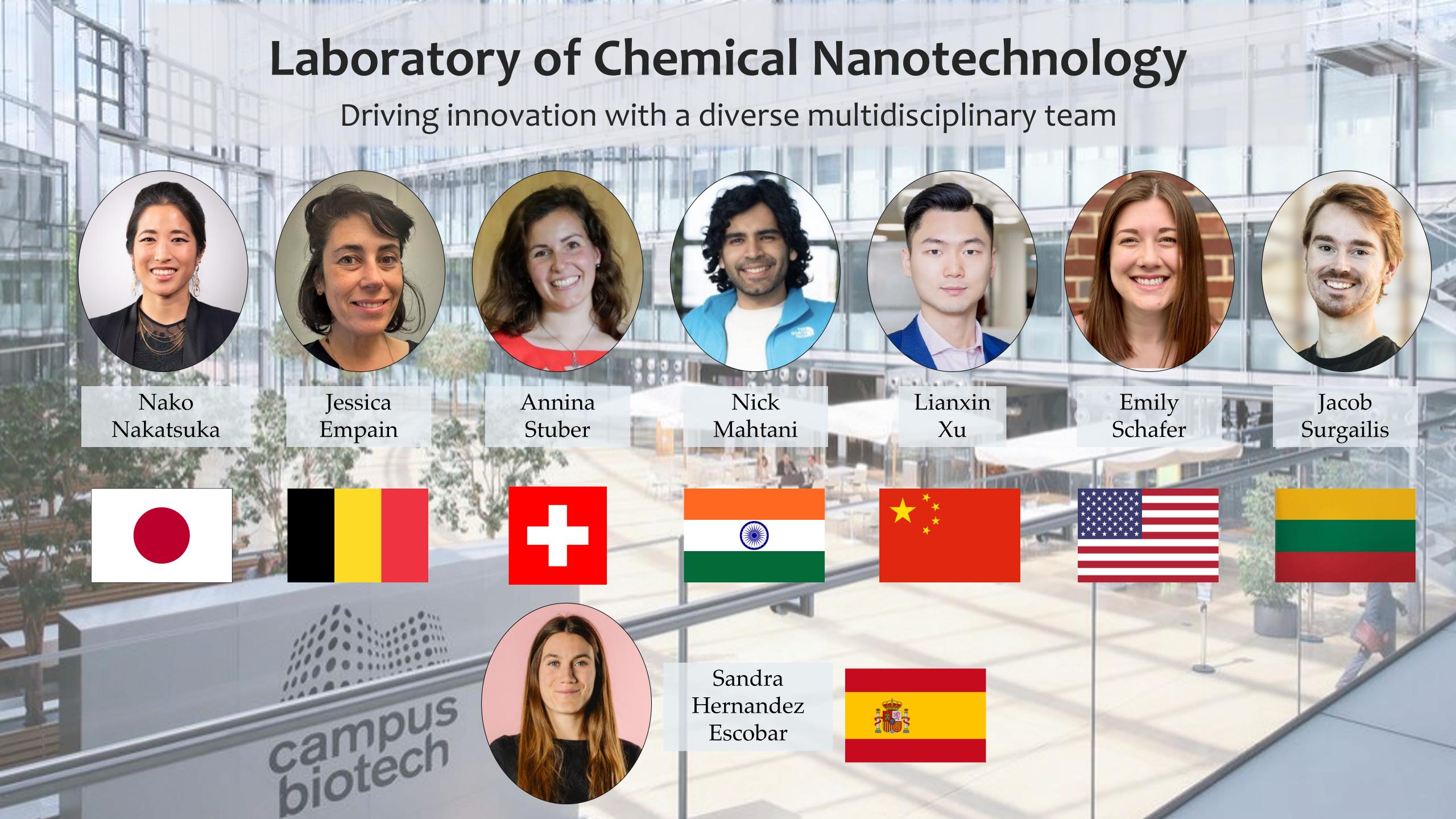


10Professors



+150
Collaborators/PhD students





Goal of the Next Few Weeks of Classes on Applications

- Be mesmerized by the diverse applications where surfaces & interfaces play a key role
- Observe how the fundamentals you learned in prior classes can be applied
- Recap on some basic chemistry concepts to understand surfaces & interfaces
- Learn techniques that enable characterization of surfaces & interfaces

What we cover in today's class:

- Surfaces and Interfaces in Nature a recap on intermolecular interactions
- Artificial Surfaces— the challenge of cleaning
- Techniques to Monitor Surfaces contact angle and XPS

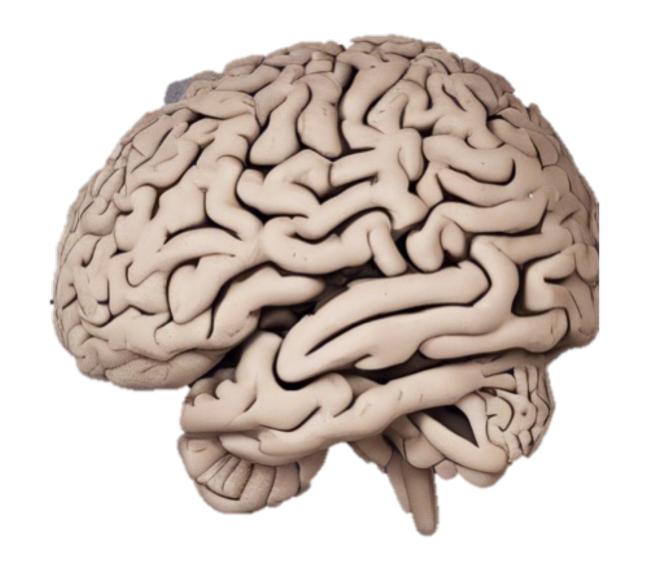


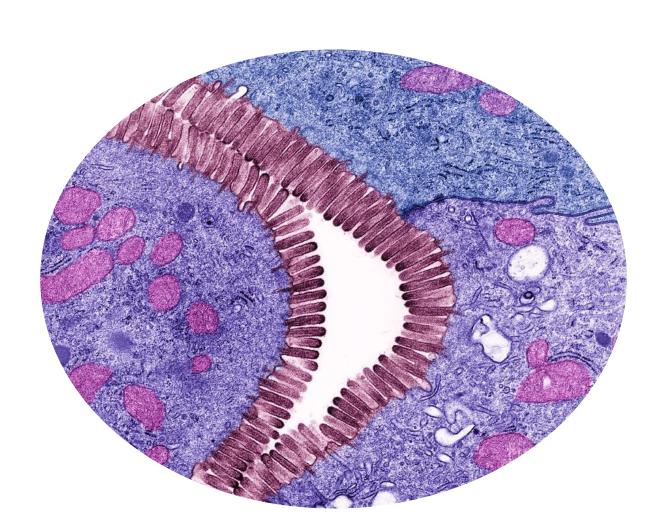
Surfaces & Interfaces in Nature

Specific surface area = ratio of area (A) to volume (V) Nature harnesses high A/V materials

The Brain

- 86 billion neurons
- 100 trillion synapses
- Neural connectivity
- Efficient metabolism





Microvilli (small intestine)

- Absorption & exchange
- Efficient interactions
- Cell-environment interfaces for biochemical reactions

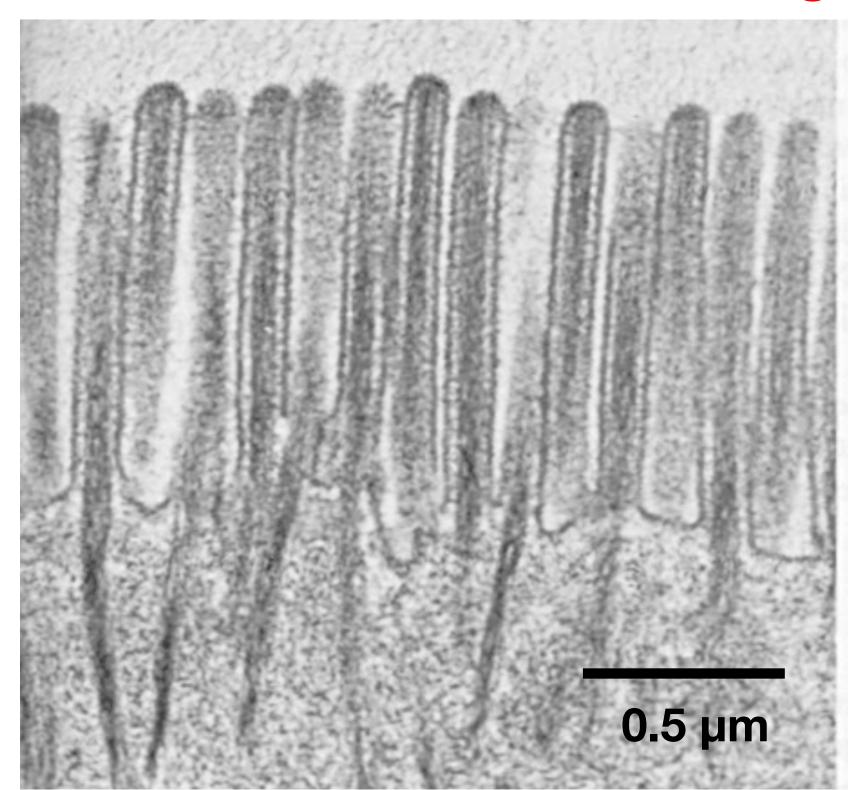
Chemical reactions often take place at interfaces

Materials with high A/V play an important role in several artificial surfaces & interfaces (e.g. catalysts)



High Area to Volume in Microvilli Enables Digestion

Question: How long would it take to digest a sandwich without microvilli?



$$A=\pi\cdot d\cdot h$$
 Diameter (d) = 0.1 μ m = 1 x 10⁻⁷ m
Length (h) = 1 μ m = 1 x 10⁻⁶ m

$$A = \pi \cdot (1 imes 10^{-7} \, \mathrm{m}) \cdot (1 imes 10^{-6} \, \mathrm{m}) = 3.14 imes 10^{-13} \, \mathrm{m}^2$$

Assume microvilli covers most intestinal surface ~1000 microvilli/ µm²

Total microvilli on 1 cm² surface:

$$1\,\mathrm{cm}^2 = 10^8\,\mu\mathrm{m}^2 \Rightarrow 10^8 \times 1000 = 10^{11}\,\mathrm{microvilli}$$

Total Surface Area =
$$10^{11} \times 3.14 \times 10^{-13} \, \mathrm{m^2} = 31.4 \, \mathrm{m^2}$$

$$\mathrm{Ratio} = \frac{31.4\,\mathrm{m}^2}{10^{-4}\,\mathrm{m}^2} = 314,000$$

Without microvilli (flat surface)

So if on average, it takes someone 4 h to digest a sandwich WITH microvilli...

it would take **1,256,000 hours** ≈ **143 years**WITHOUT microvilli!!!



How Does a Gecko Stick on a Wall?



- 1. Their hands and feet excrete sticky materials (goo)
- 2. Their hands and feet have tiny hooks

- 3. Their hands and feet are like tiny suction cups
- 4. None of the above



How Does a Gecko Stick on a Wall?



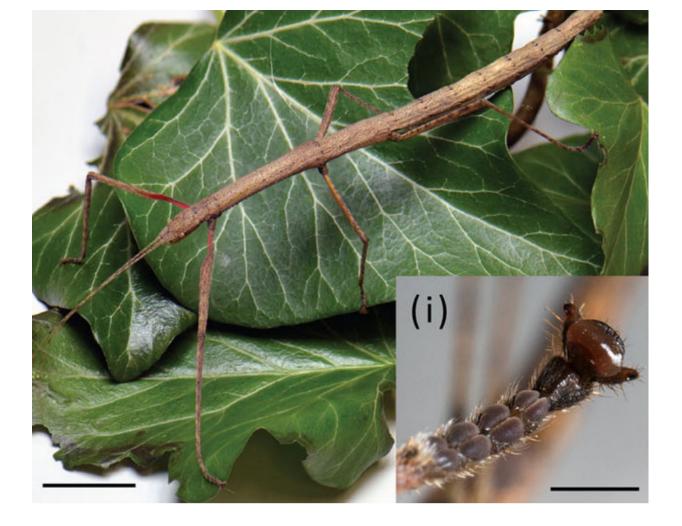
Hypothesis 1: Capillary forces for adhesion (like insects) – condensation of water from atmosphere forming a monolayer of water molecules/insects secreting liquid from their feet can cause significant capillary attraction

ORIGINAL ARTICLE



Capillary adhesion of stick insects

Guillermo J. Amador¹ Brett Klaassen van Oorschot¹ Uddalok Sen²
Benjamin Karman³ Rutger Leenders¹

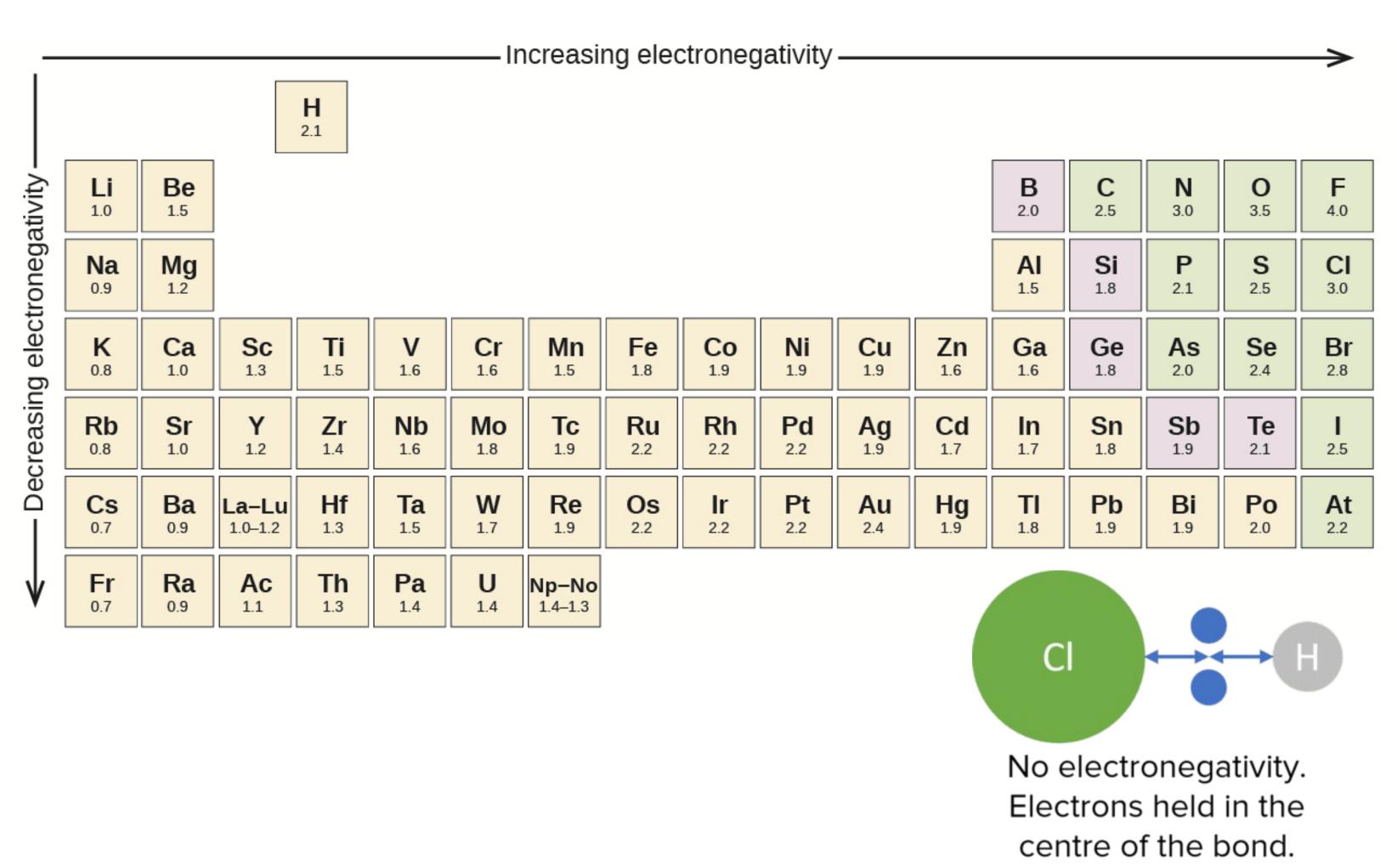


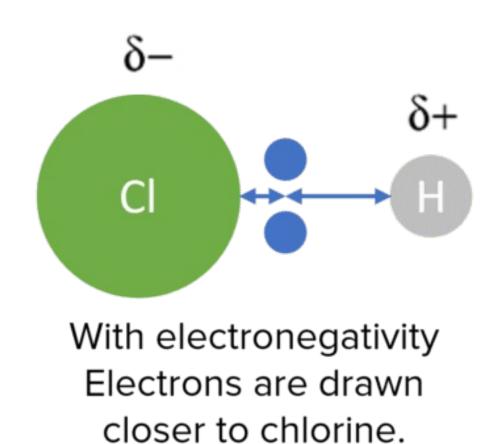


Intermolecular Forces of Attraction – What is At Play for Geckos?

Intermolecular forces make two molecules come closer together and interact

The force of attraction is proportional to the electronegativity (how much the molecule likes electrons)





Different Forms of Intermolecular Interactions/Forces

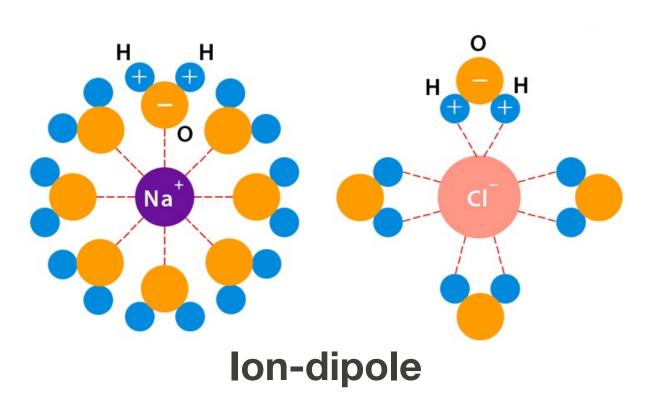
Fe ²⁺

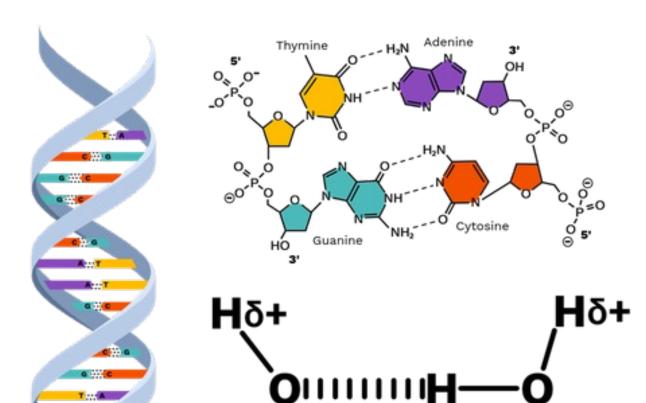
Iron ion

Oxygen

(induced dipole)

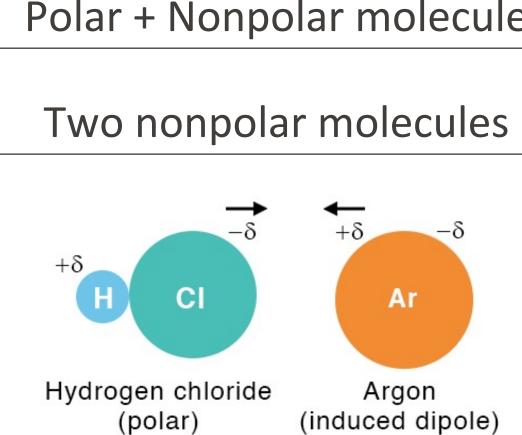
Ion-induced dipole



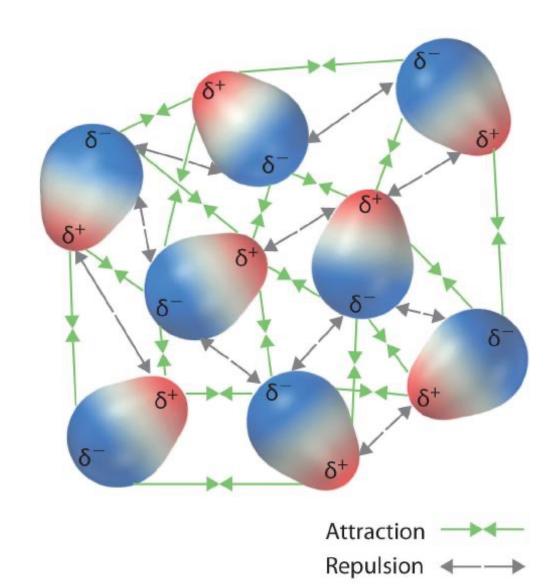


H-bond

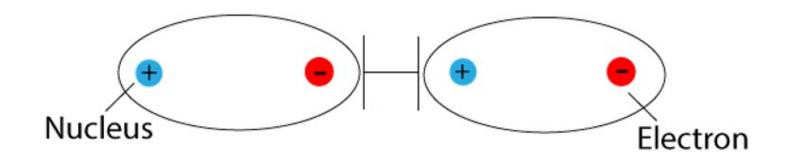
Intermolecular Forces	Formed by attraction between:
Ion-dipole	Ion + polar molecule
Hydrogen bond	Molecules with H, N, O, F atoms
Dipole-dipole	Two polar molecules
Ion-induced dipole	Ion + nonpolar molecule
Dipole-induced dipole	Polar + Nonpolar molecule
Van der Waals forces	Two nonpolar molecules



Dipole-induced dipole



Dipole-dipole



Strength

Van der Waals forces



δ+

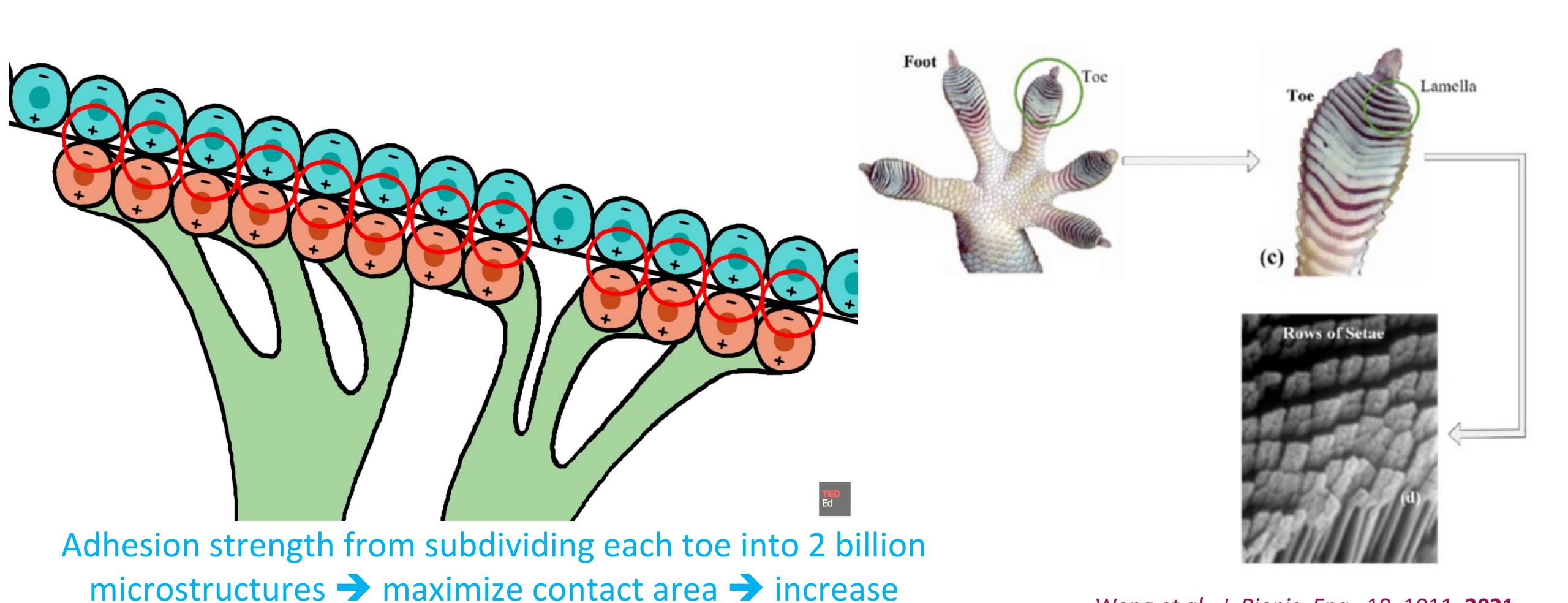
How Geckos Stick on der Waals

Science

Revealing the lizards' secret, engineers aim to make wall-walking robots

cumulative van der Waals interactions with the surface

27 AUG 2002 · BY BEN SHOUSE



Wang et al., J. Bionic. Eng., 18, 1011, **2021**



Semiconductor Material Led to Evidence of Interactions

RESEARCH ARTICLE | BIOLOGICAL SCIENCES |

PNAS

Evidence for van der Waals adhesion in gecko setae

Kellar Autumn, Metin Sitti, Yiching A. Liang, Anne M. Peattie, Wendy R. Hansen, Simon Sponberg, Thomas W. Kenny, Ronald Fearing, Jacob N. Israelachvili, and Robert J. Full -6 Authors Info & Affiliations

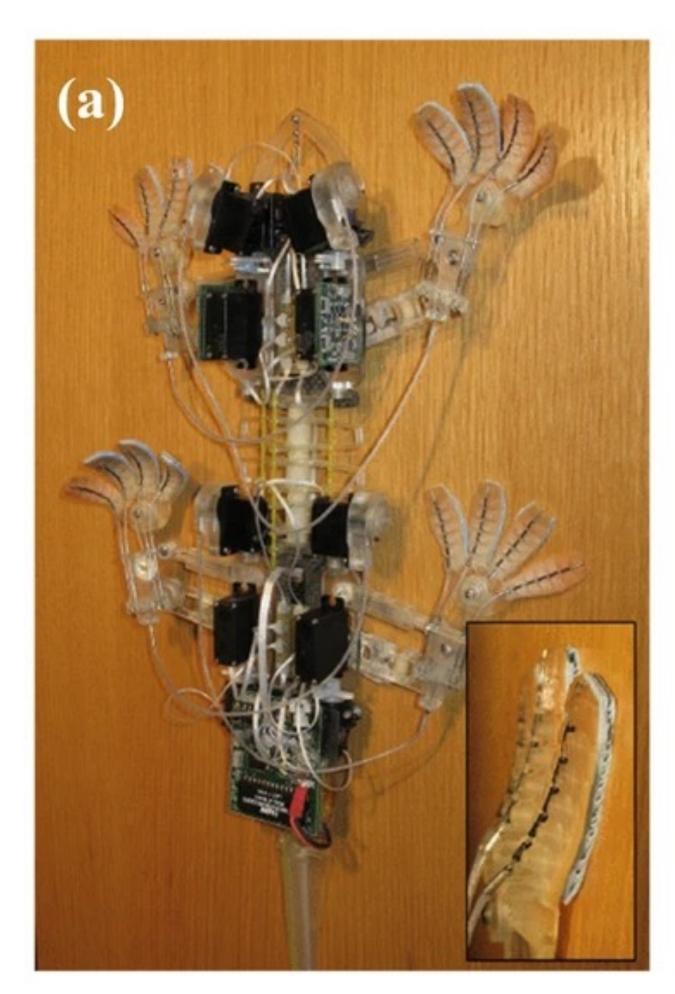
August 27, 2002 99 (19) 12252-12256 https://doi.org/10.1073/pnas.192252799

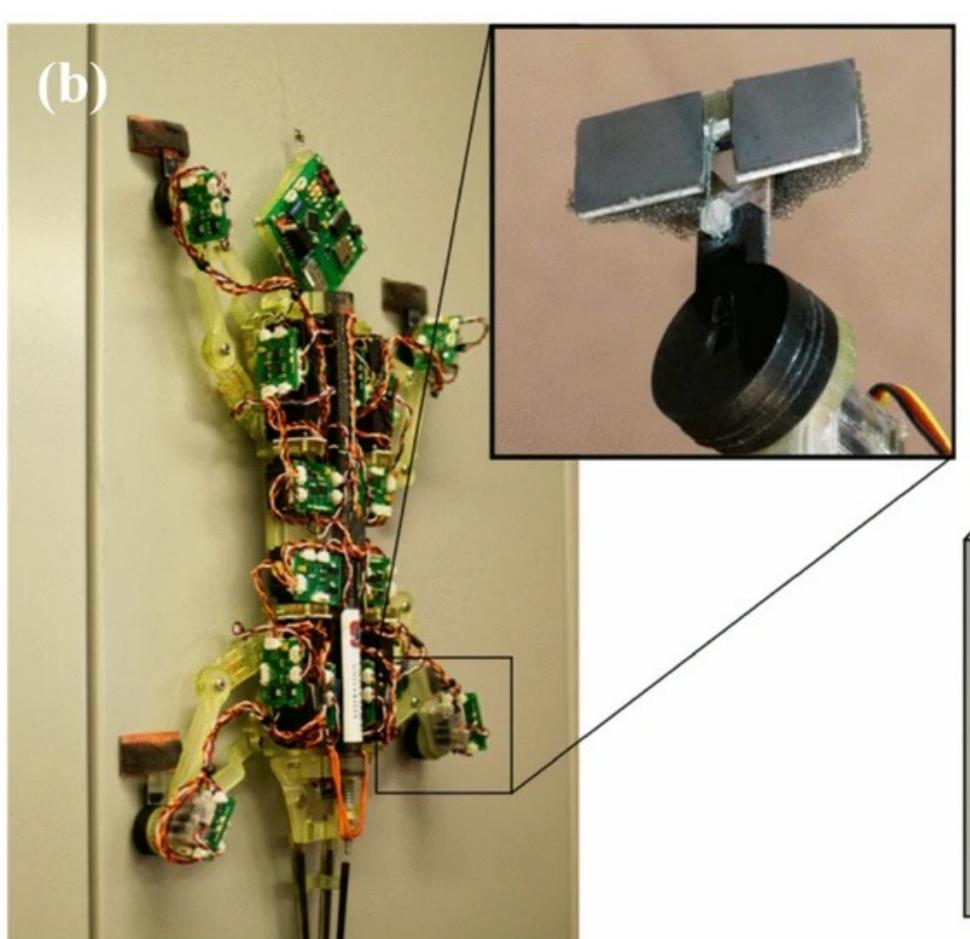


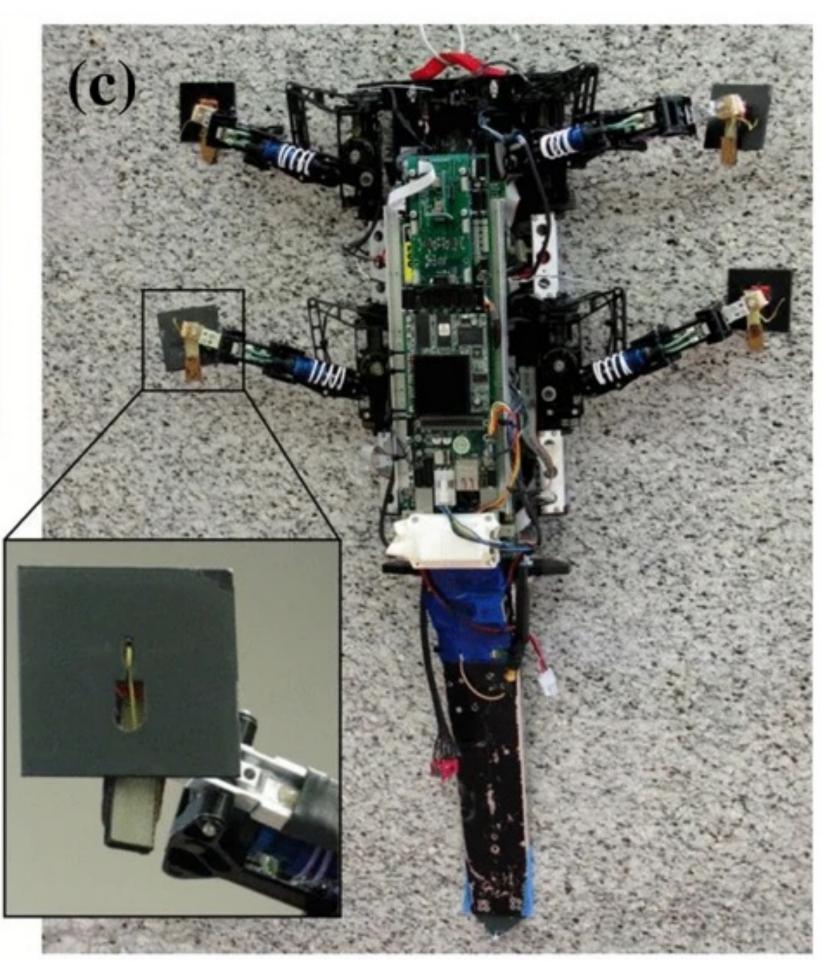
Gecko adhering to
molecularly smooth
hydrophobic gallium arsenide
semiconductor demonstrates
mechanism of adhesion is van
der Waals force



Wall-Climbing Robots Inspired by this Knowledge



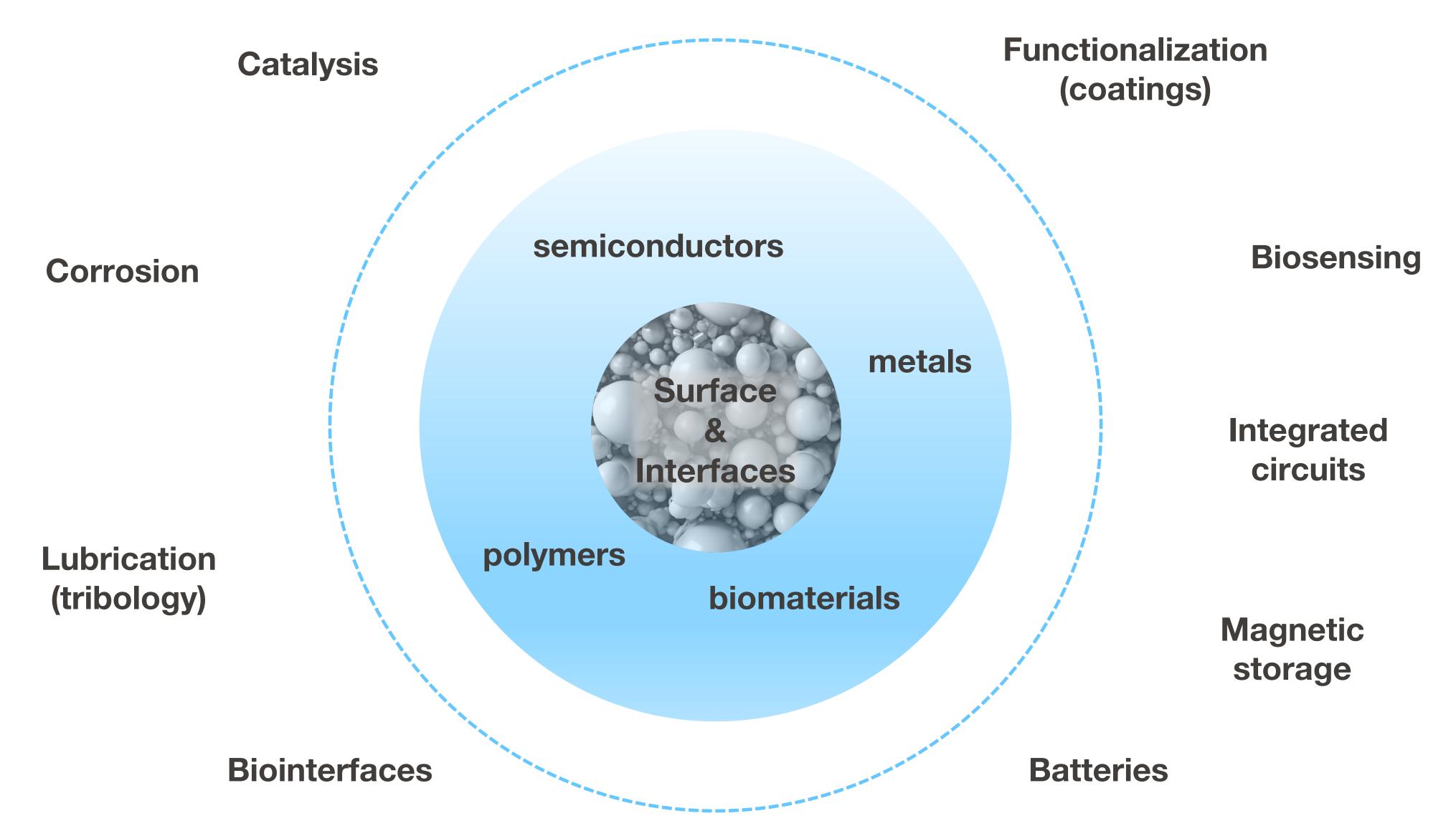




Wang et al., J. Bionic. Eng., 18, 1011, **2021**



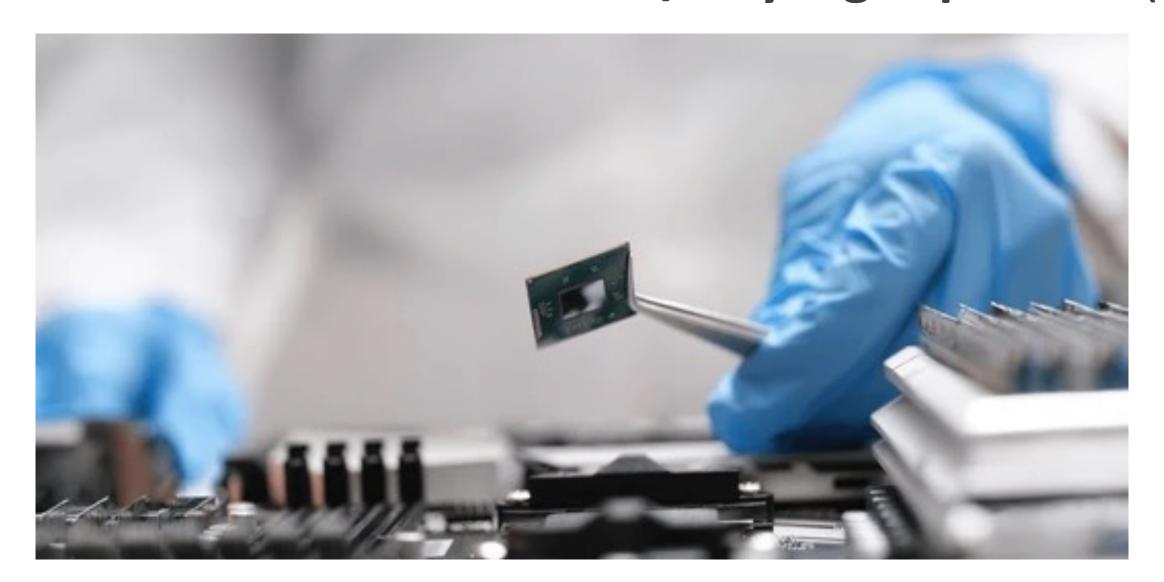
Diverse Applications of Surfaces & Interfaces





Challenge of Studying Surfaces

A freshly cleaned surface remains clean for a very short time interval Each atom of a 1 cm² metal surface is hit ~10⁸ times/s by a gas particle (at atmospheric pressure)



The number of molecules that hit the sample surface per unit time (impact frequency) and unit area is calculated as follows:

$$\dot{n}_s \approx 2.7 \times 10^{22} \frac{P}{\sqrt{MT}} cm^{-2} s^{-1}$$

P: pressure

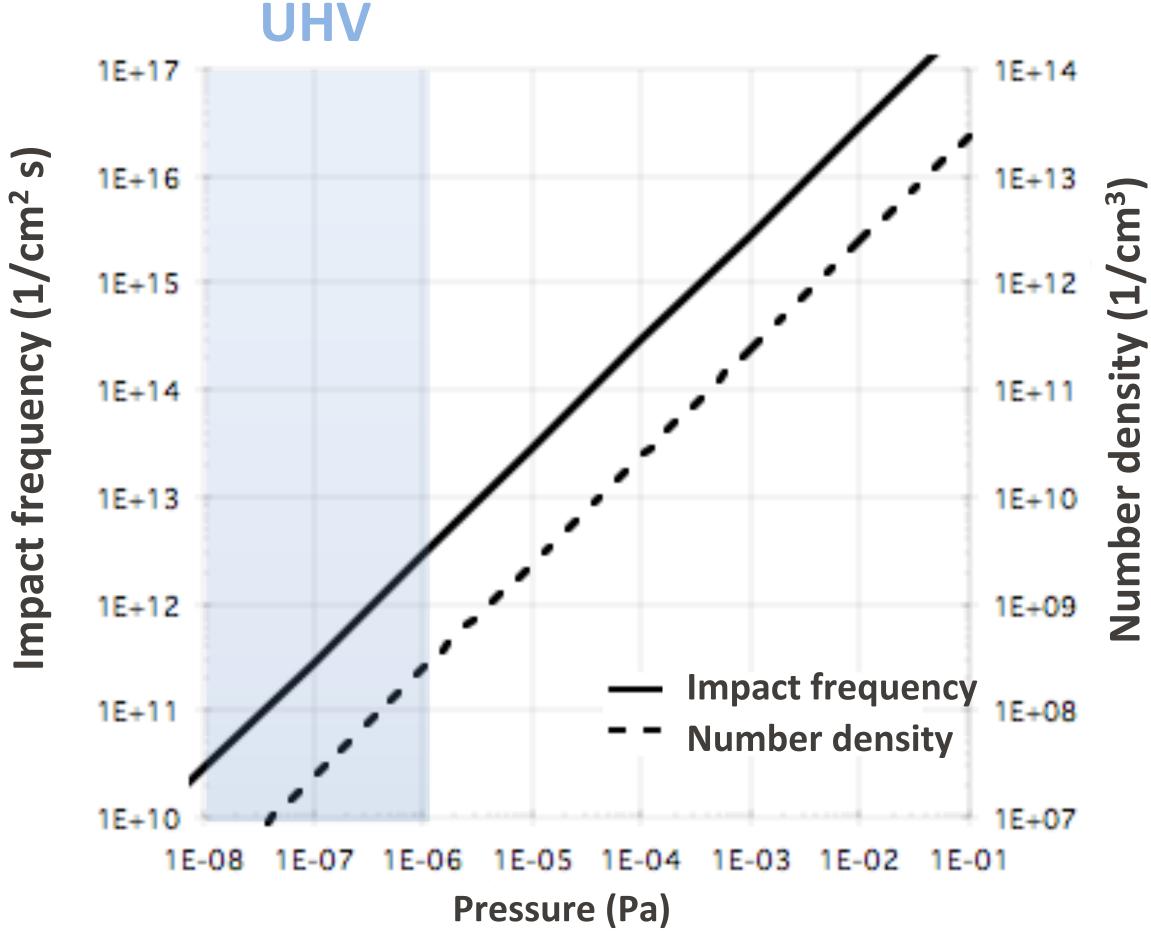
M: molecular weight

T: Temperature



Ultra High Vacuum (UHV) Needed for Ultra Clean Surfaces

The number of impacts per second per cm² can only be decreased by pressure reduction



Impact frequency/unit area and number density as a function of the pressure for an ideal gas

1 Pa is about the pressure exerted by a CHF 100 note on a table!



1 standard atmosphere = 101,325 Pa



Vacuum Levels Significantly Impacts Monolayer Formation

Rough Vacuum

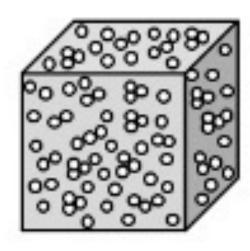
1 atm - 10⁻³ Torr



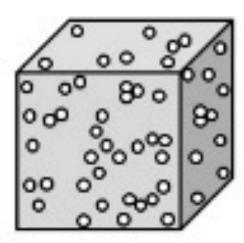
10⁻³ Torr - 10⁻⁸ Torr

Ultra High Vacuum

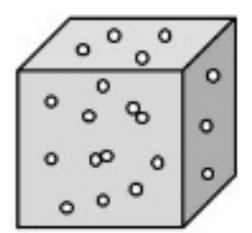
10⁻⁸ Torr - 10⁻¹² Torr



1 x 10⁻³ Torr 10¹³ atoms/cm³



1 x 10⁻⁶ Torr **10**¹⁰ atoms/cm³

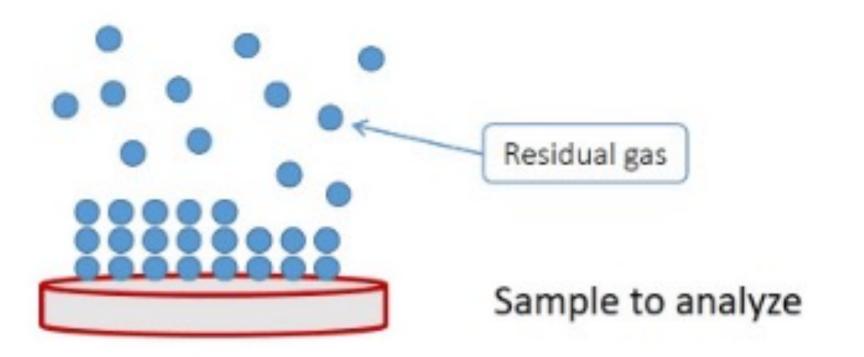


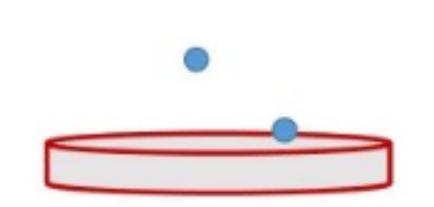
1 x 10⁻¹¹ Torr 10⁵ atoms/cm³

1 residual gas monolayer every 4 SECONDS

1 residual gas monolayer every 4 DAYS

High Vacuum





UHV

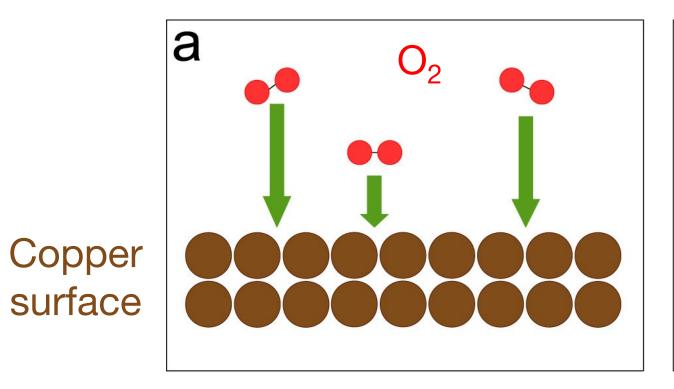


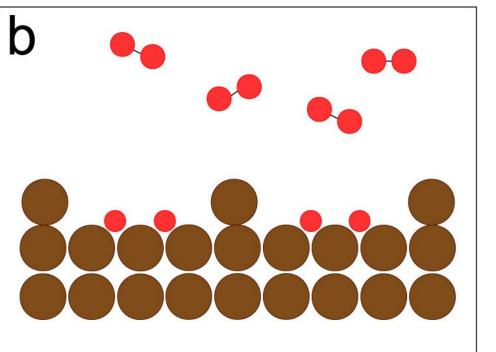
So How Clean Are Surfaces? (They're Not!)

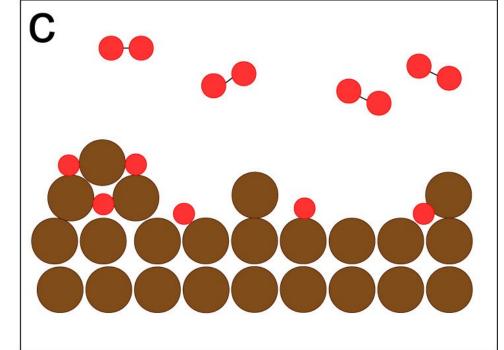
Surfaces in air are mostly covered with multilayers of molecules from the gas phase (H₂O, hydrocarbons, air components)

Metals (except Au) are mostly oxidized

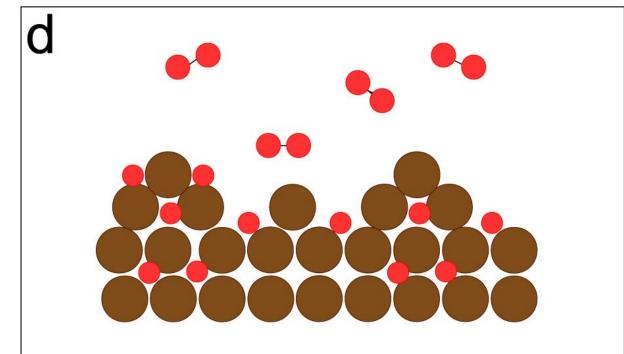
(i.e. the surface atoms are in a different oxidation state from most of the bulk atoms)

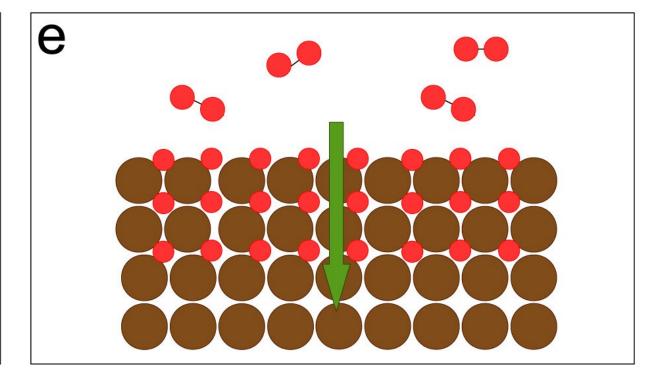






Nucleation of oxide islands upon diffusion of oxygen





Copper oxide growth proceeds through interfacial diffusion of oxygen

Gattinoni & Michaelides, Surf. Sci. Rep. 70:424-447, 2015

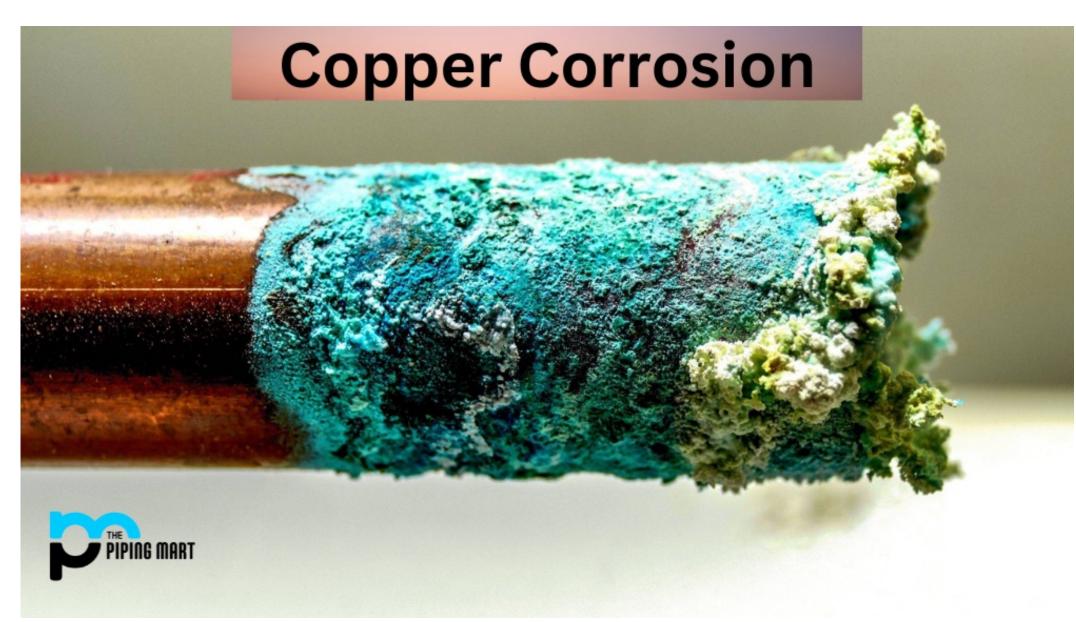


Oxidation of Surfaces Leads to Corrosion

Surfaces in air are mostly covered with multilayers of molecules from the gas phase (H₂O, hydrocarbons, air components)

Metals (except Au) are mostly oxidized

(i.e. the surface atoms are in a different oxidation state from most of the bulk atoms)



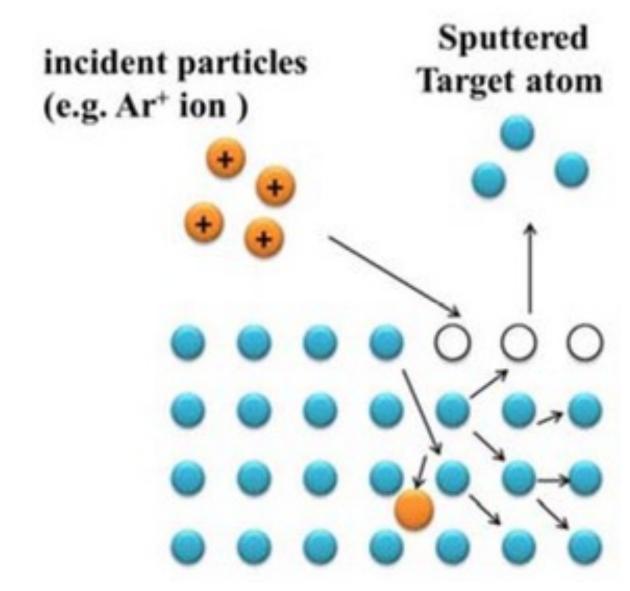
Contact with water or oxygen in the presence of an electrolyte (salt or sulfuric acid)



How Can we Obtain Clean Surfaces?

1) **Heating:** suitable for samples covered by an oxide layer, which are not stable at high temperatures (*e.g.*, flame annealing of substrates)



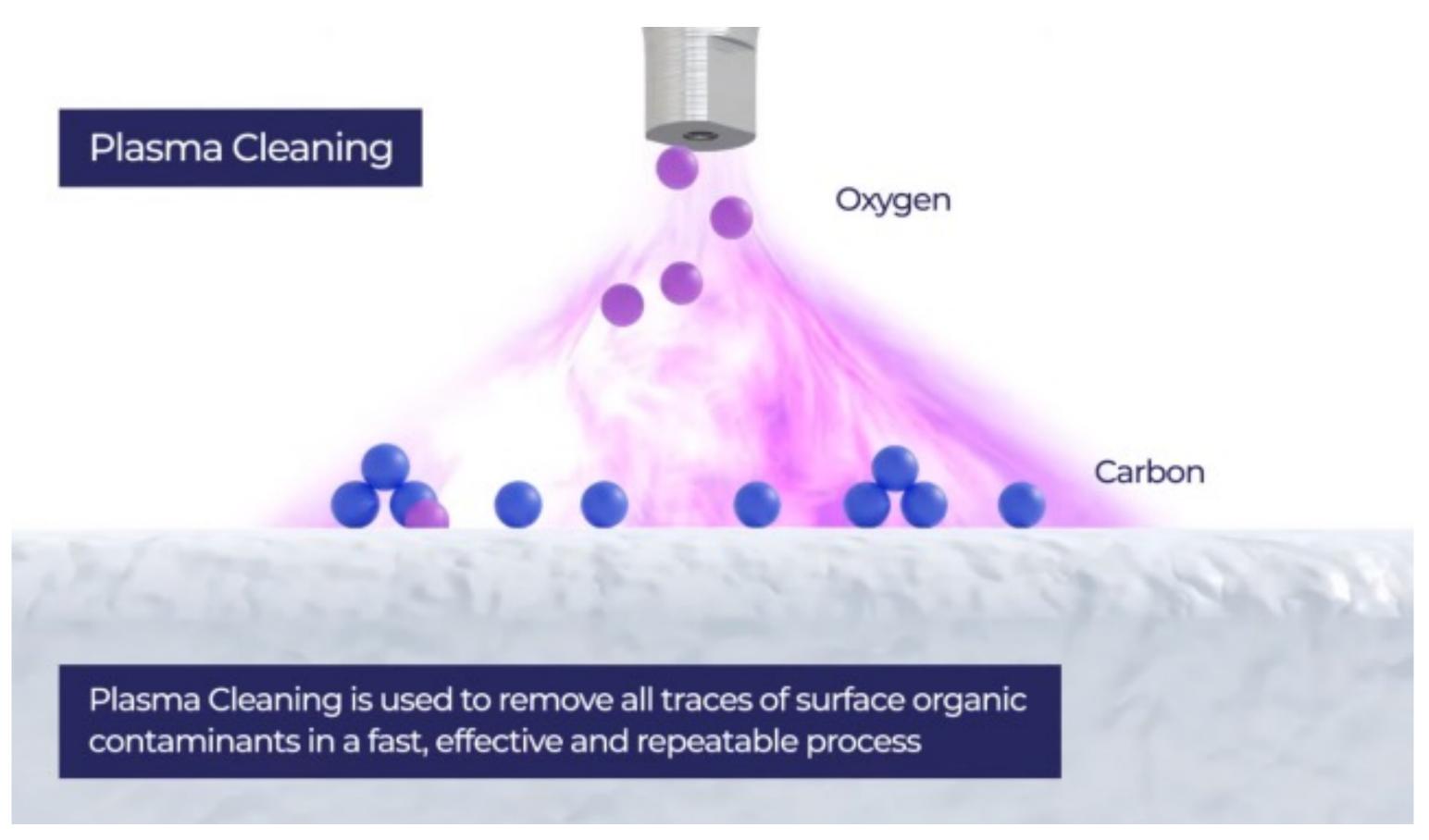


2) **Argon sputtering**: removing the top layer of a sample by sputtering with Ar ions, with subsequent heating to remove surface defects



How Can we Obtain Clean Surfaces?

3) Plasma cleaning to remove surface contaminants



Plasma is generated by applying an electric field to a gas in a vacuum chamber

Oxygen radicals interact with carbon-based (organic) contaminants, breaking down into volatile compounds such as CO₂ and H₂O

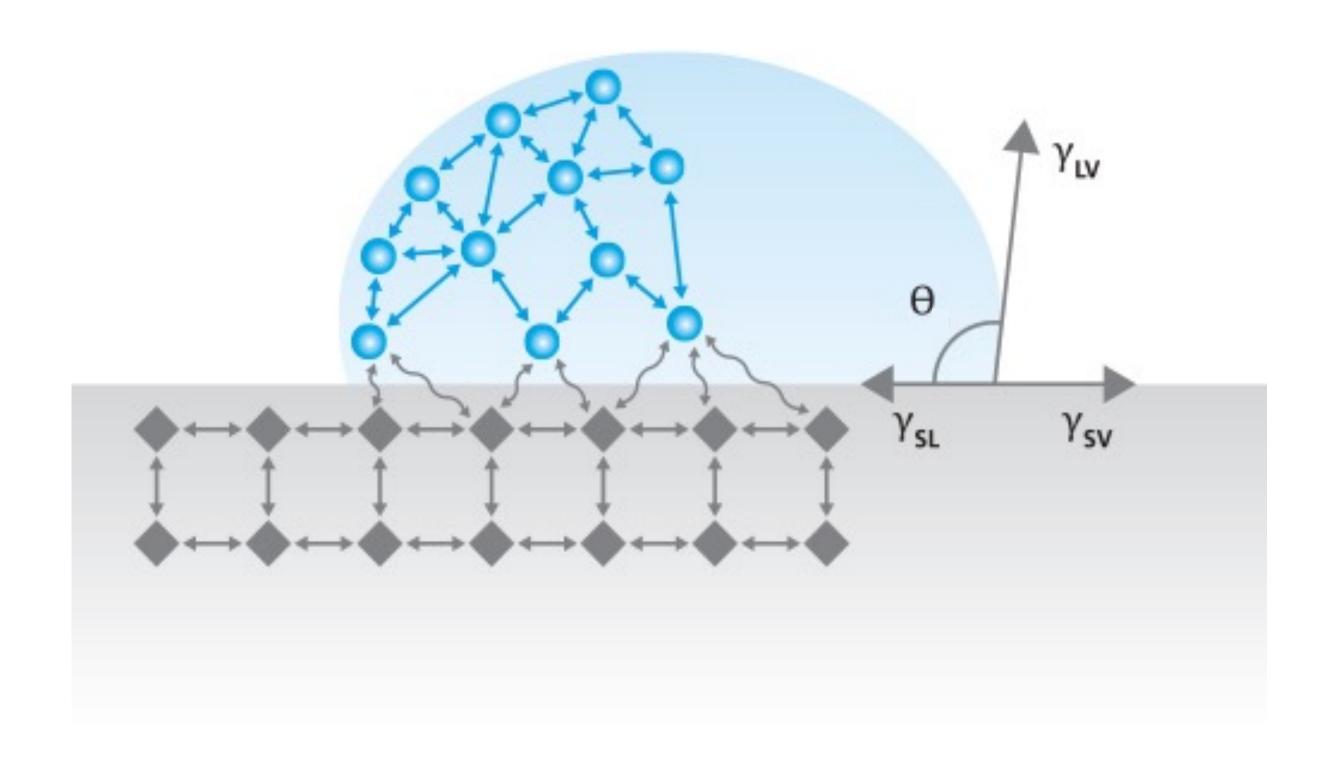
Reaction products often in gas form are carried away by vacuum system

4) Fracture of a material: possible to obtain pure and very smooth surfaces (mica)



How Can We Check the Cleanliness of a Surface?

Contact angle (θ): quantifies the wetting of a solid by a liquid. Angle formed by a liquid at the three-phase boundary point where the liquid, gas, and solid intersect (thermodynamic equilibrium)



Young Equation

$$\gamma_{SV} - \gamma_{SL} - \gamma_{LV} \cos \theta = 0$$

Relating interfacial energies with contact angle

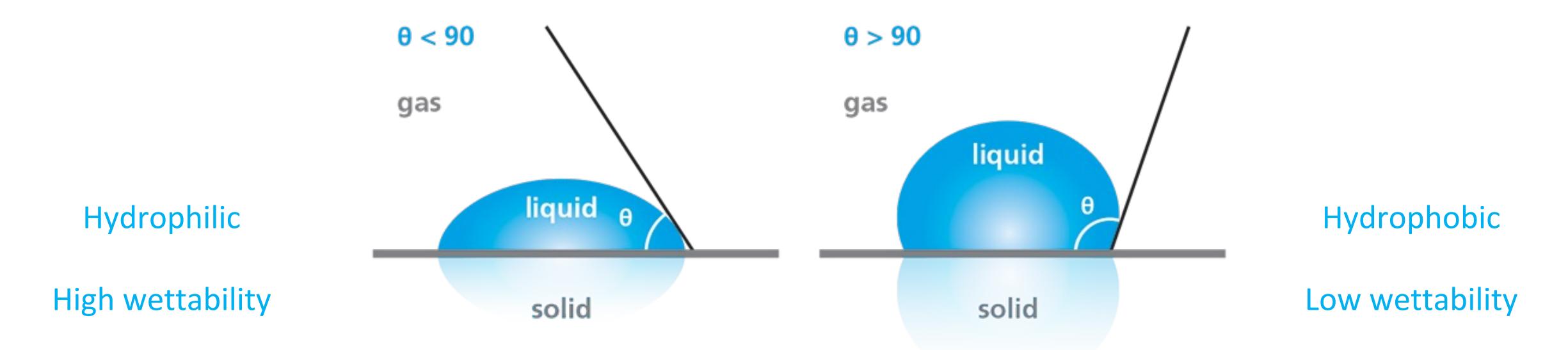
Ideal surface assumptions:

- Smooth
- Rigid
- Chemically homogeneous
- Insoluble
- Non-reactive

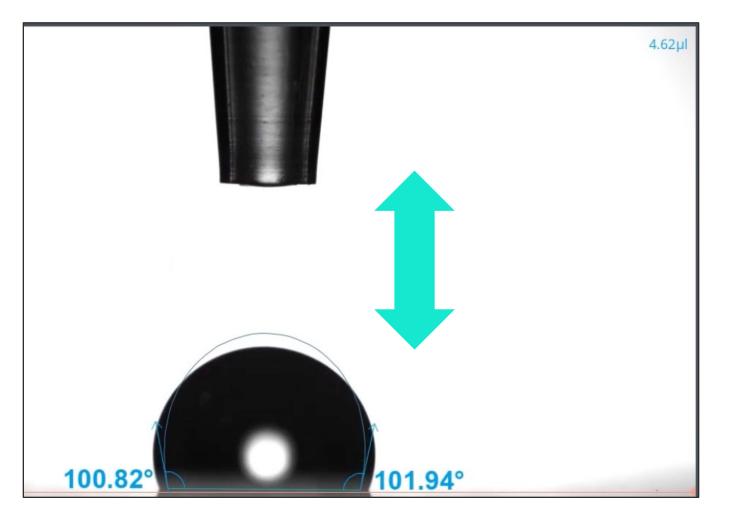


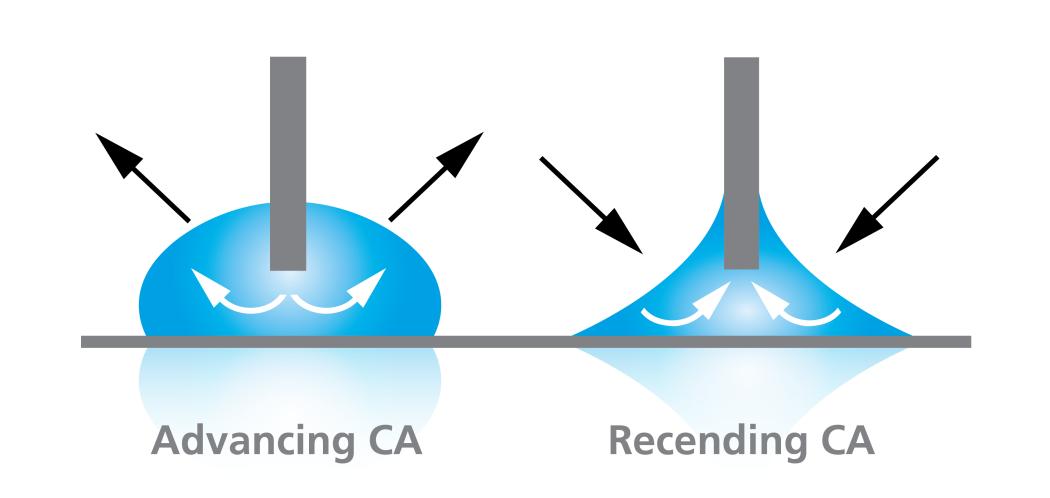


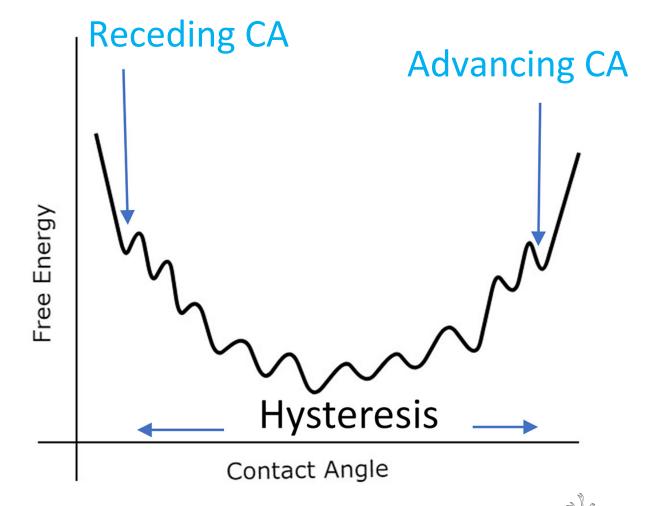
Wettability – Tendency of Liquids to Spread Across Surface



Static Contact Angle / Sessile Drop measured by an Optical Tensiometer

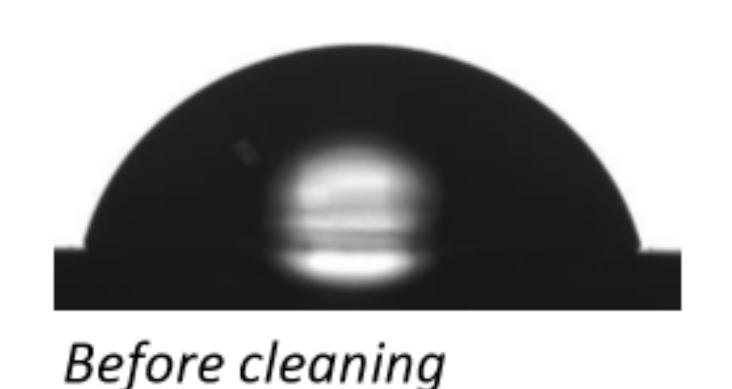


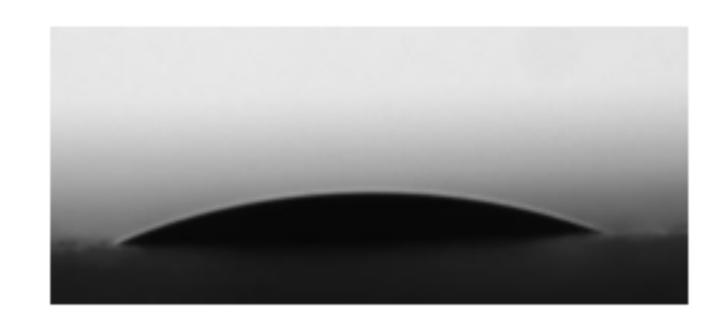






Contact Angle on Glass Surface Higher with Contamination





After cleaning

If a metal surface were perfectly clean, the contact angle would approach 0 degrees, indicating a surface that is completely wettable.

Let's see if this is the case?

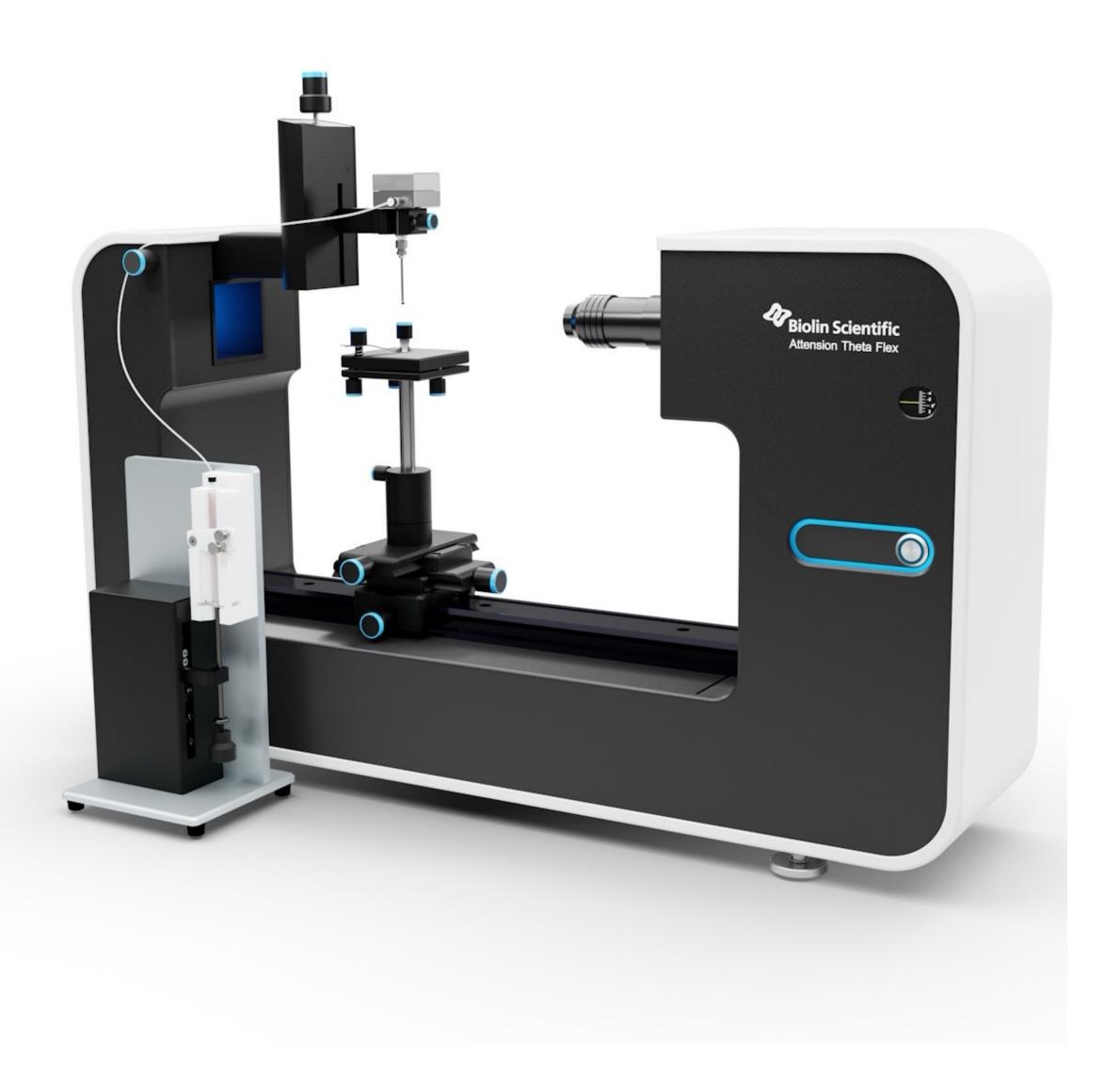
Contact angle advantages: Quick, non-destructive

Contact angle limitations: Limited information





Contact Angle Instruments



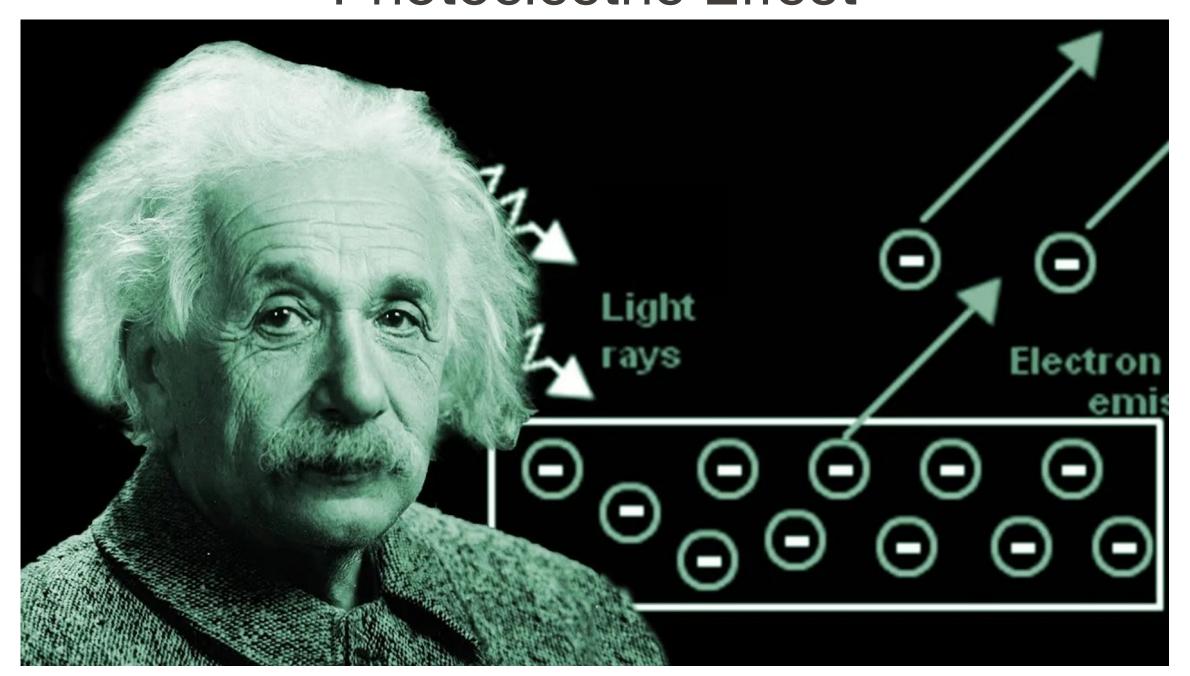




Electron Spectroscopy to Monitor Surface Species

X-ray Photoelectron Spectroscopy (XPS)

Photoelectric Effect



Albert Einstein

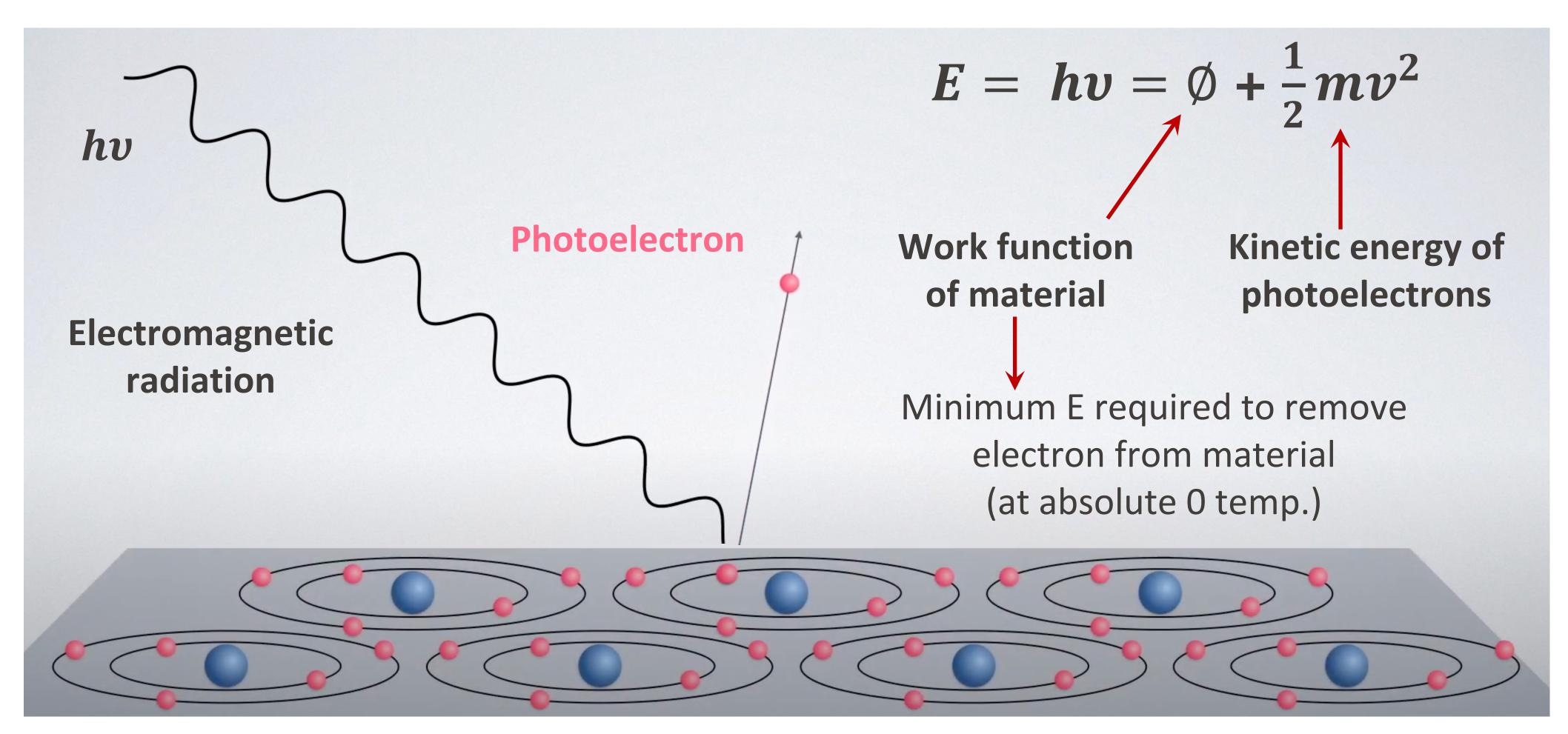


Nobel Prize in Physics for his discovery of the Photoelectric Effect (1921)



Electron Spectroscopy to Monitor Surface Species

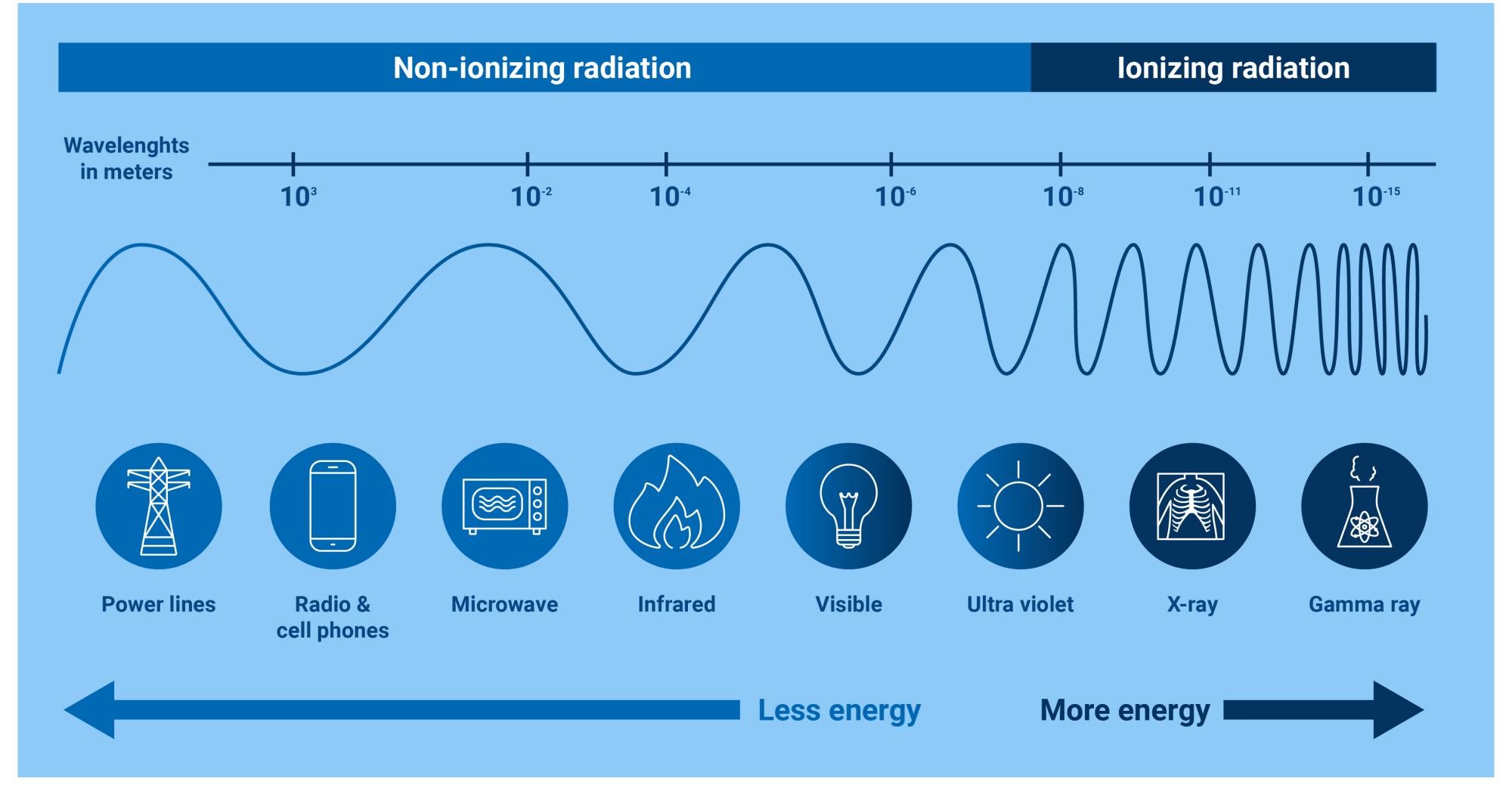
X-ray Photoelectron Spectroscopy (XPS)



Surface of sample



Electromagnetic Radiation – Ionizing Radiation for XPS

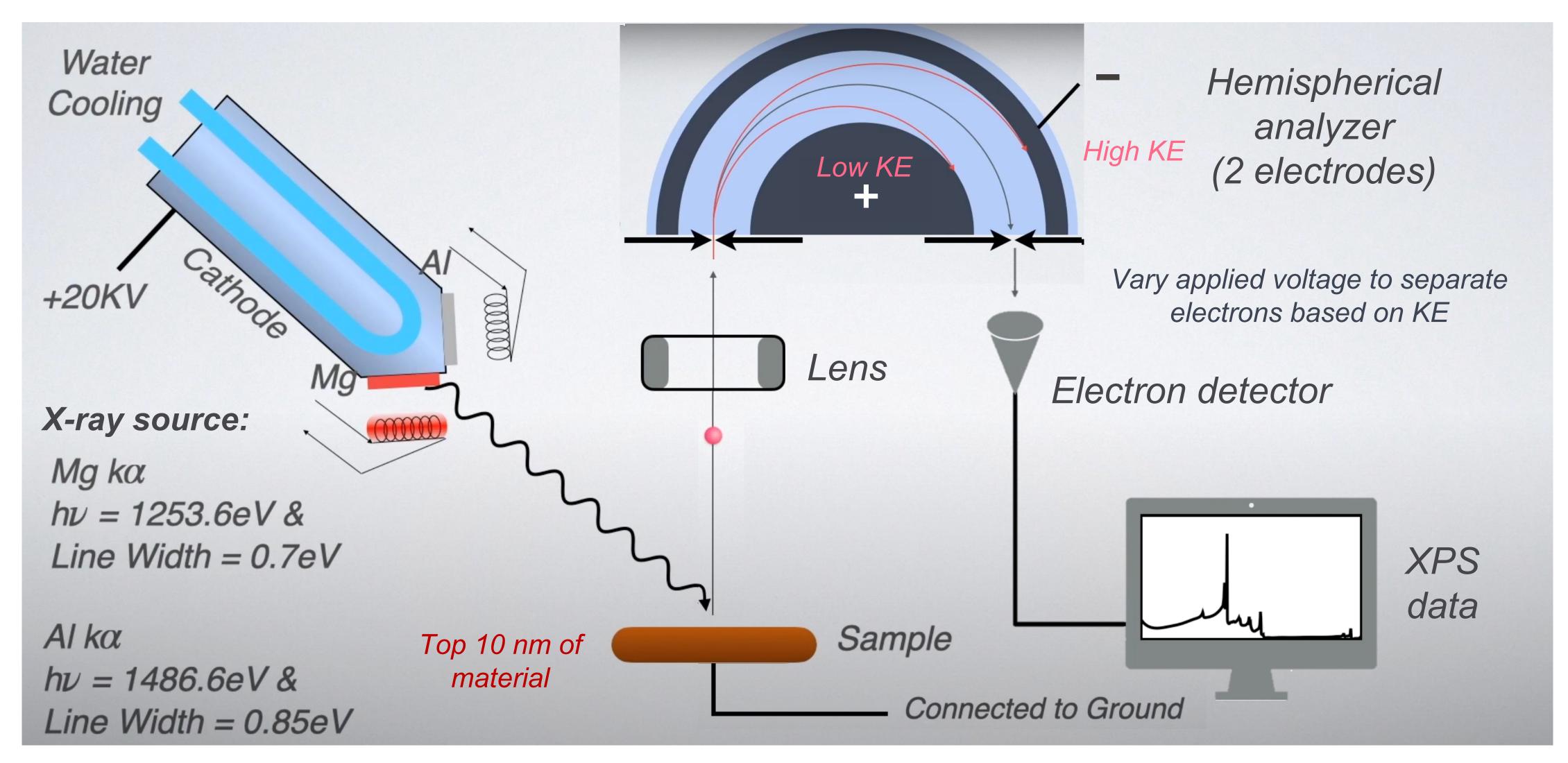


Valence electrons: UV light

Core electrons: X-rays



How Does XPS Analyze Surface Elements?

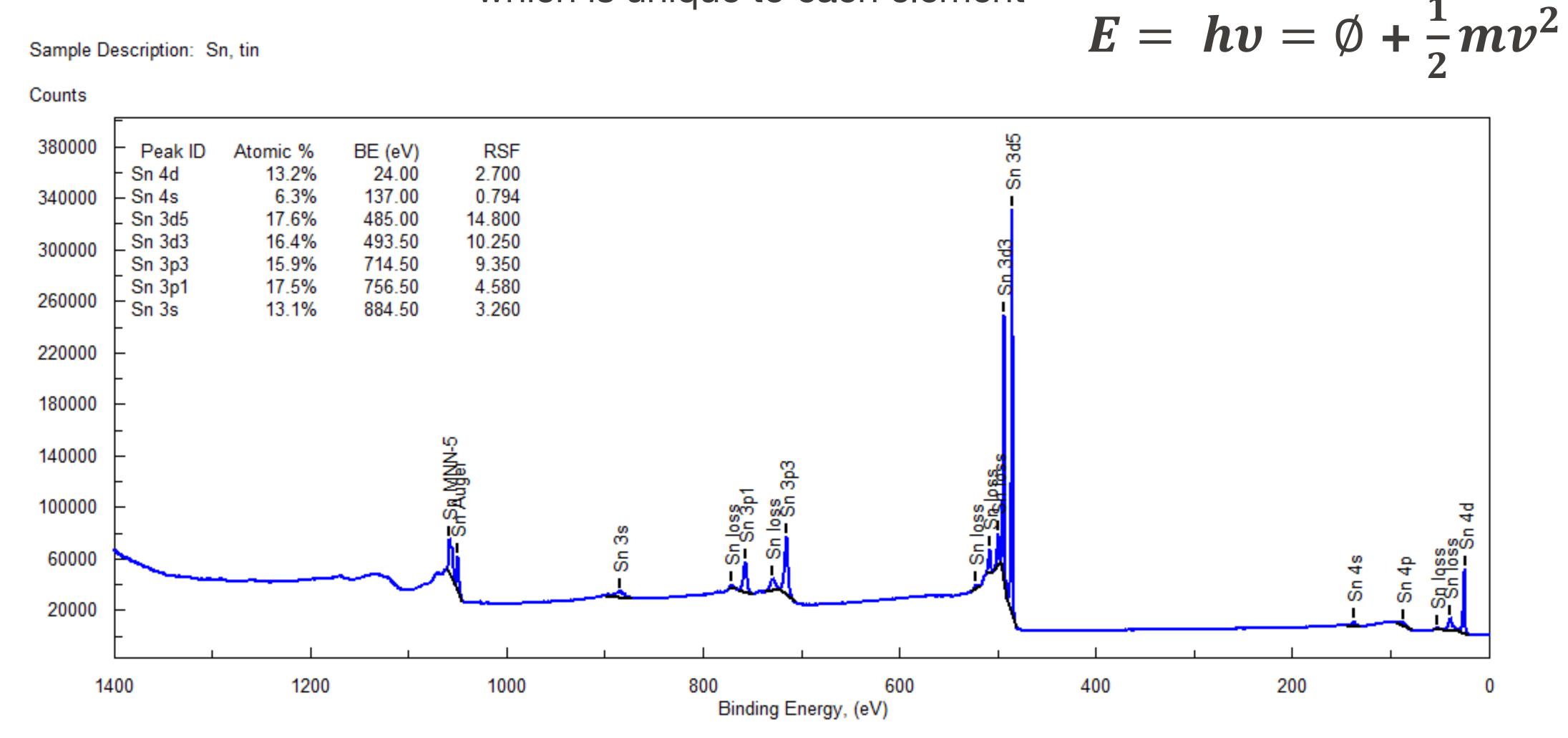






Binding Energy (eV) vs. Number of Electrons Counted

Kinetic energy of ejected electrons is related to the binding energy of the electron in the atom, which is unique to each element



XPS Instrument



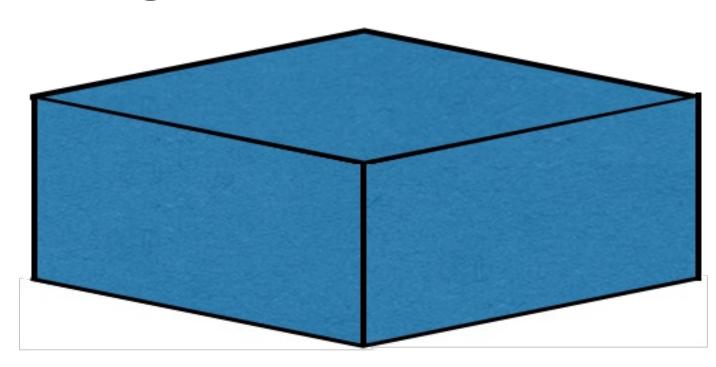


XPS advantages: Quantitative analysis – elemental composition



Surface Chemistry – Why Functionalize Surfaces?

The goal is to combine ideal bulk properties with ideal surface properties



Bulk Properties

Reflect behavior of atoms/molecules within interior of material

Porosity

Thermal conductivity

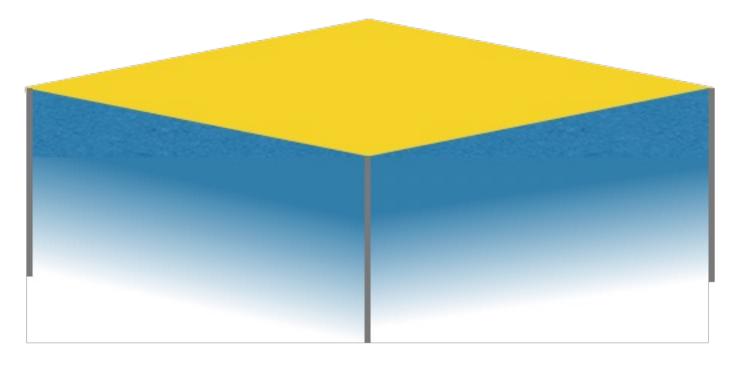
Electrical conductivity

Density

Elasticity

Tensile strength

Magnetism



Surface Properties

Properties unique to atoms on material's surface where they are less coordinated and experience different forces

Wettability

Biocompatibility

Corrosion resistance

Roughness

Lubricity/ease-of-cleaning

Catalytic activity

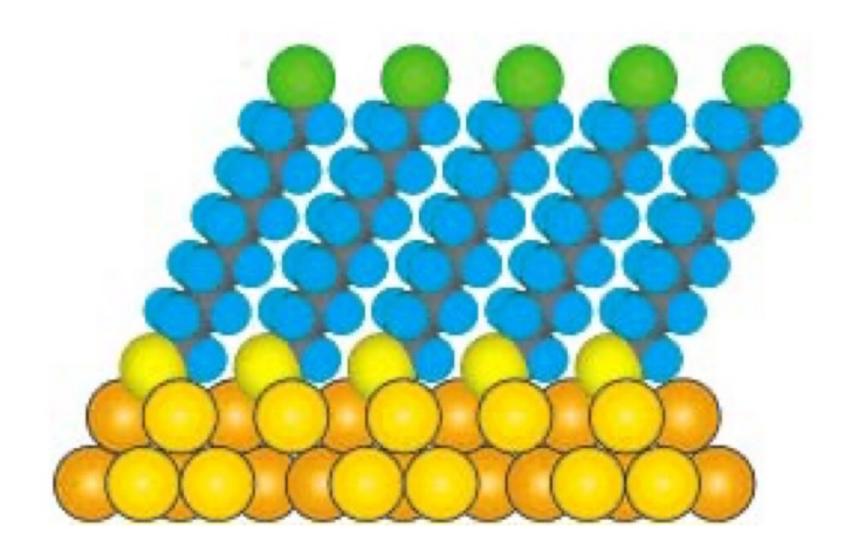


How to Functionalize Surfaces? Self-Assembled Monolayers

Examples of alkanethiols that spontaneously self-assemble on surfaces:

$$HS$$
 $(CH_2)_n$

X = tail group/functional group CH₃, OH, COOH, NH₂, etc.



Functional group: mainly determines surface properties

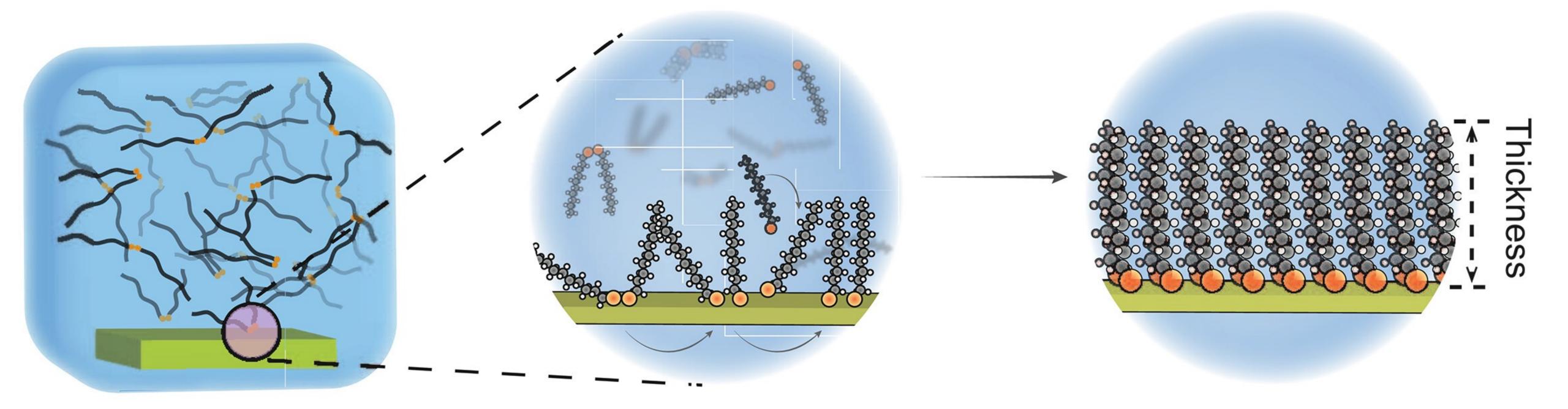
Hydrocarbon chain: interchain van der Waals interactions

Head/anchoring group: interacts with substrate (chemisorption)

Spontaneously adsorbed, single layer of species with a high degree of lateral organization



Self-Assembled Monolayers Form by Themselves



Adsorption

Self-assembled

Source: Chen et al., Sci. Adv., 9, 42, 2023

Technically simple "dip and rinse" process

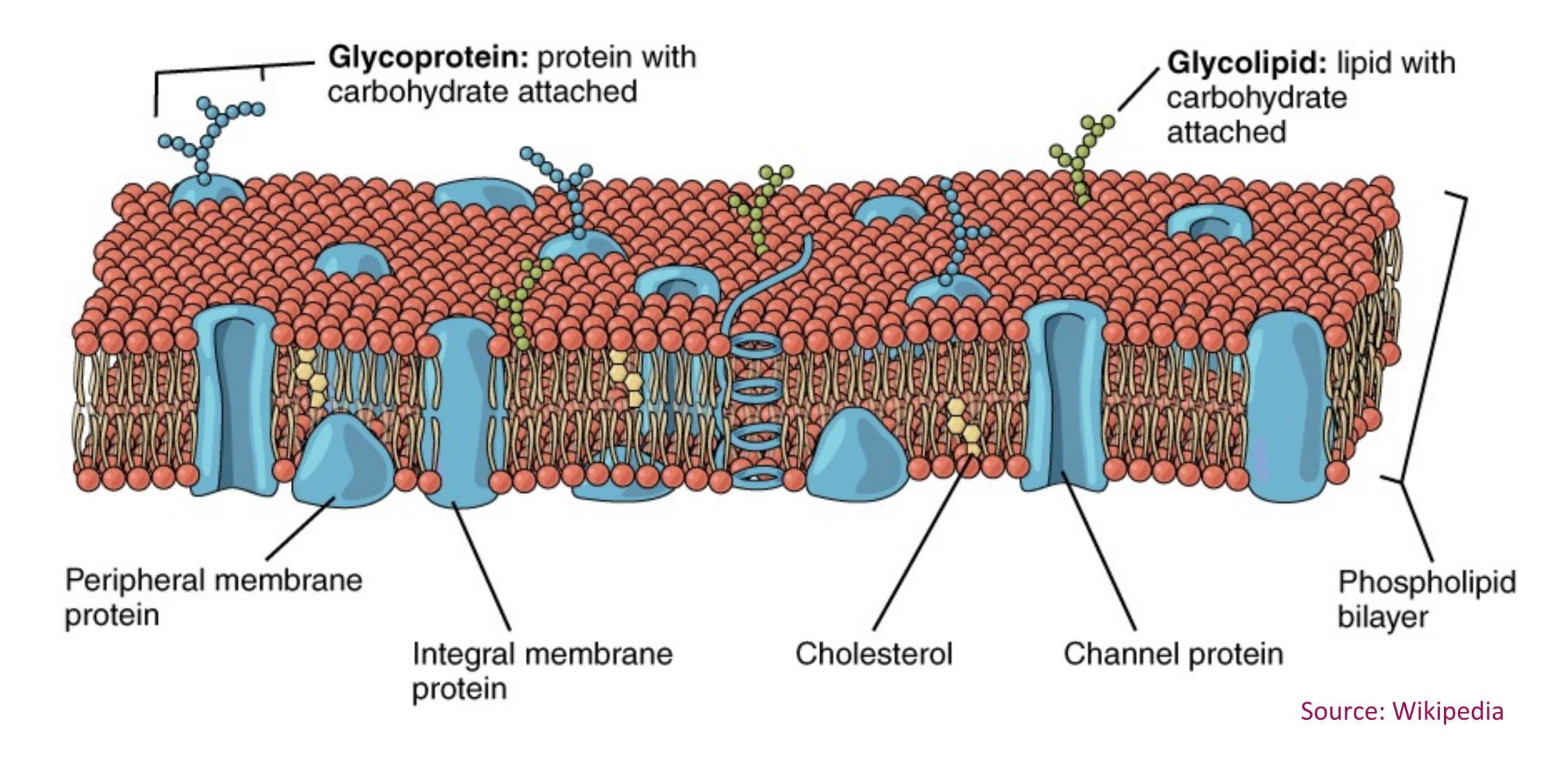
No external driving force needed

Large choice of anchor groups, substrates, and functional groups

Easily modified and patterned

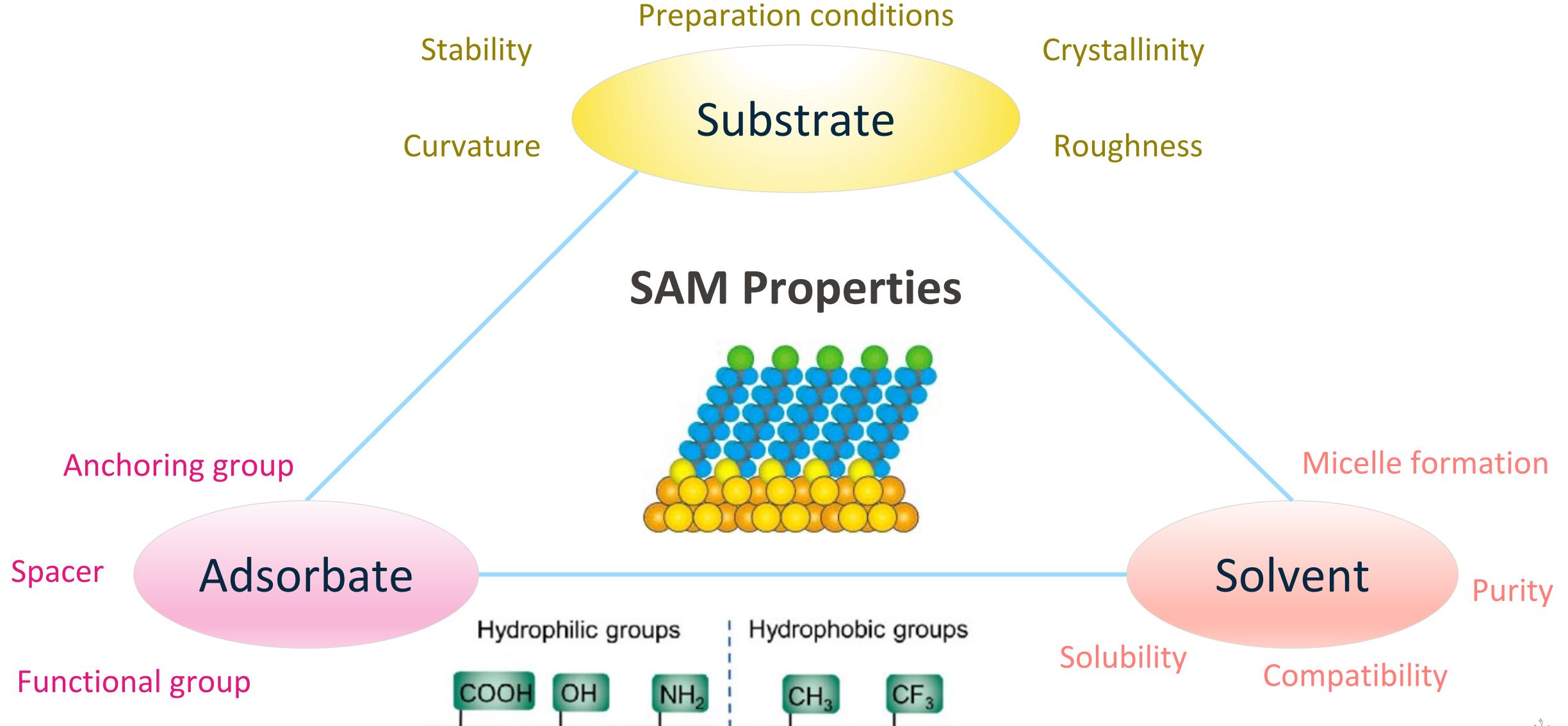


Example of Spontaneous Self-Assembly in Nature





Factors Governing Self Assembly





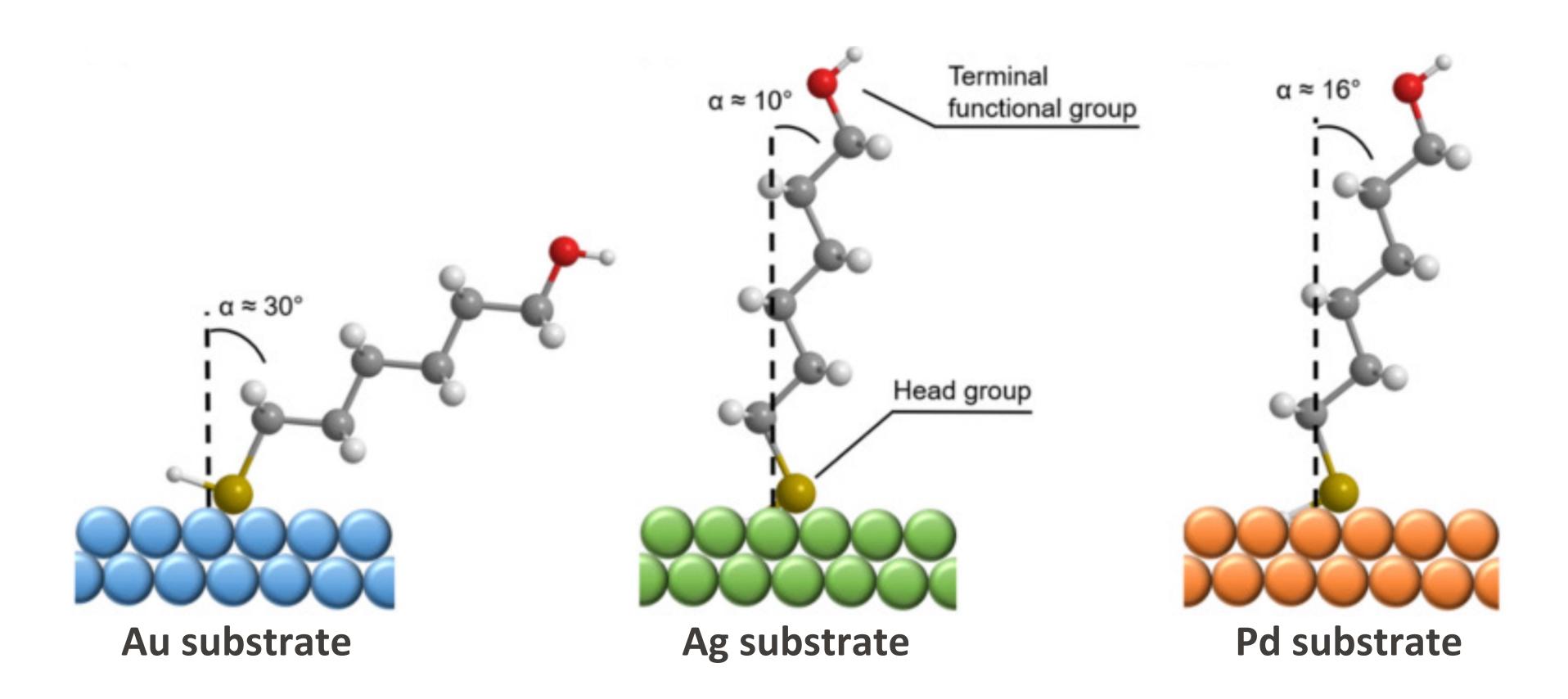
Overview of Surface Binding Chemistries

Adsorbate	Substrate
Thiols:	
R-SH	Au, Ag, Cu, Pt, Pd, Ru, Hg, AuAg, AuCu,Ni, Ir, Zn, ZnSe, CdSe, CdS, CdTe, Ge
<u>Disulfides:</u>	
R-S-S-R'	Au, Ag, Pd
<u>Silanes:</u>	
R-SiCl ₃ ,	SiO ₂ , TiO ₂ , Au
R-Si(OR') ₃	
R-Si(CH ₃) ₂ CI	
Phosph(on)ates:	
R-PO₄H ₂	Al_2O_3 , TiO_2 , Nb_2O_5 , Ta_2O_3 , ZrO_2
R-PO ₃ H ₂	Ta ₂ O ₃ , ZrO ₂

Adsorbate	Substrate
Ammonium: R-NH ₃ ⁺ R-N(CH ₃) ₃ ⁺ R ₂ -N(CH ₃) ₂ ⁺	Mica
Carboxylic acid: R-COO-/R-COOH	Al₂O₃ , Ni, Ag, Ti/TiO ₂
<u>Alkyne:</u> R-C [≡] CH	Si(111):H
Alcohols: R-OH	Si:H



Alkanethiols Structure on Different Substrates



Lattice mismatch between the gold substrate and the natural packing density of alkanethiols, requiring higher tilt to achieve stable van der Waals interactions

Güvener et al., Fundamentals of Sensor Technology, 2023



Intrinsic and Extrinsic Defects Found in Polycrystalline SAMS

Chem. Rev. 2005, 105, 1103-1169

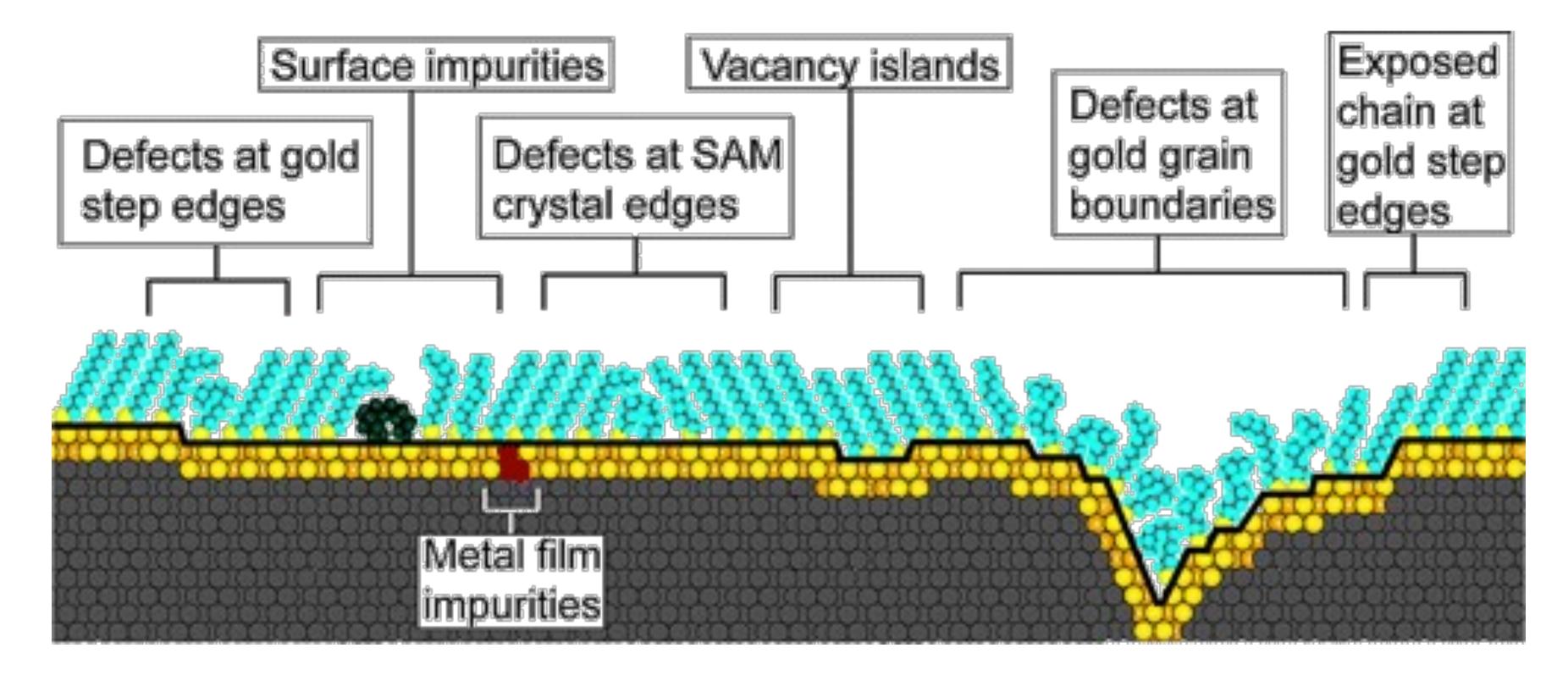
1103

Self-Assembled Monolayers of Thiolates on Metals as a Form of Nanotechnology

Cited > 10,000 times!

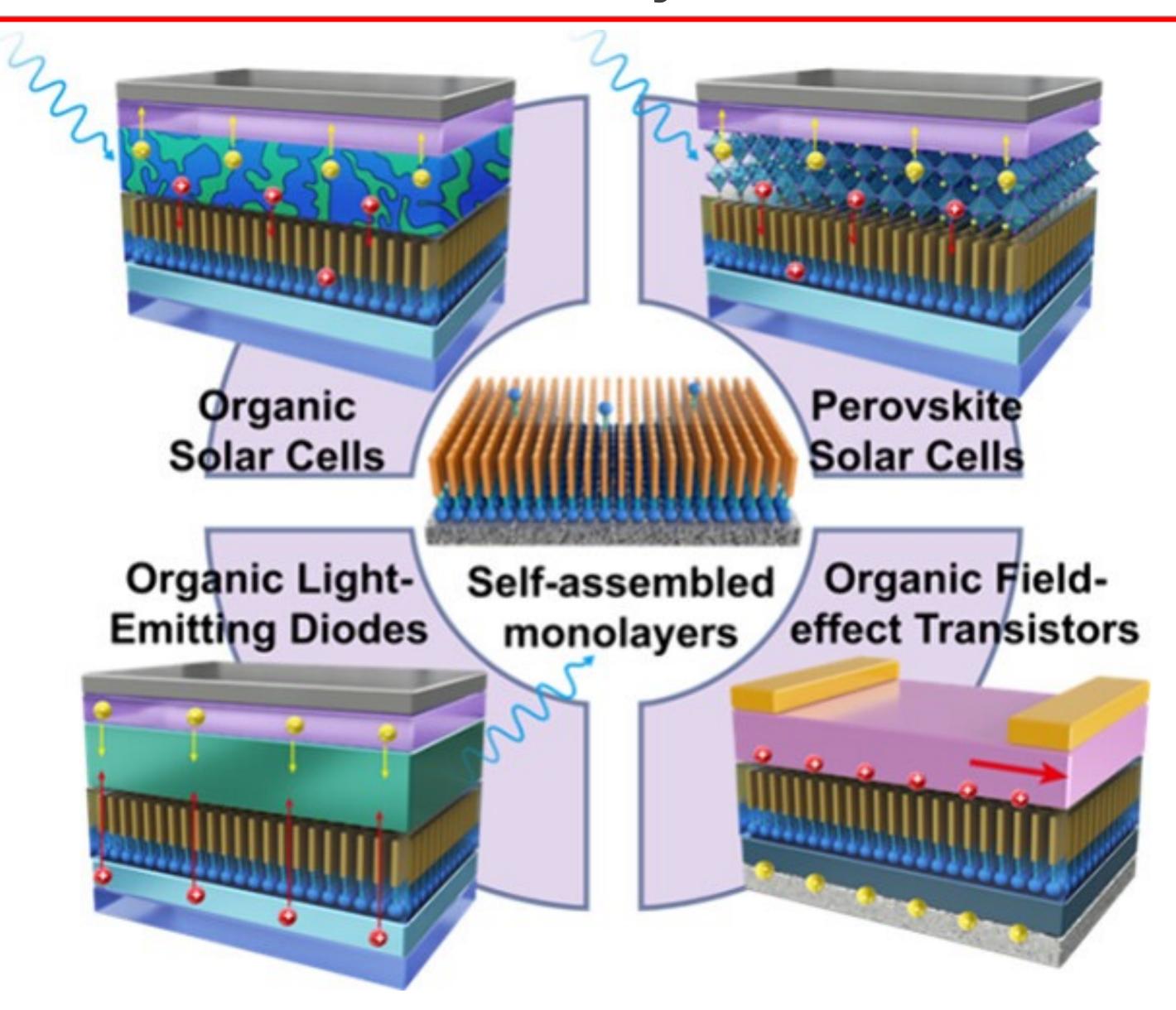
J. Christopher Love,[†] Lara A. Estroff,[†] Jennah K. Kriebel,[†] Ralph G. Nuzzo,^{*,‡} and George M. Whitesides^{*,†}

Department of Chemistry and the Fredrick Seitz Materials Research Laboratory, University of Illinois—Urbana—Champaign, Urbana, Illinois 61801 and Department of Chemistry and Chemical Biology, Harvard University, 12 Oxford Street, Cambridge, Massachusetts 02138





Self-Assembled Monolayers in Electronic Devices



Transparency

Diversity

Stability

Sensitivity

Selectivity

Surface passivation ability



Summary of Today's Class

- Surfaces and Interfaces in Nature how geckos stick to walls
- Artificial Surfaces— the challenge of cleaning and importance of UHV
- Techniques to Monitor Surfaces contact angle and XPS
- Introduction to Self-Assembled Monolayers

Exercise Session: Importance of UHV for obtaining clean surfaces

